

最大模原理与 Schwarz 引理

最大模原理. 设 f 在区域 D 中全纯, 不是常值函数, 则 $|f(z)|$ 不可能在 Ω 中取到最大值

引理 (平均值) 若 $f(z)$ 在 $|z-a| < R$ 内全纯, 则有

$$f(a) = \frac{1}{2\pi} \int_0^{2\pi} f(a + re^{i\theta}) d\theta \quad 0 < r < R.$$

pf.
$$f(a) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(\zeta)}{\zeta - a} d\zeta = \frac{1}{2\pi i} \int_0^{2\pi} \frac{f(a + re^{i\theta})}{re^{i\theta}} \cdot ri e^{i\theta} d\theta$$

$$= \frac{1}{2\pi} \int_0^{2\pi} f(a + re^{i\theta}) d\theta$$

pf of thm. 设 $\sup_{\Omega} |f| = M < \infty$, 用连通性论证

令 $\Omega_1 = \{|f| = M\}^{\text{闭}}$ $\Omega_2 = \{|f| < M\}$

下证 Ω_1 开. 设 $a \in \Omega_1, \subseteq \Omega \Rightarrow \exists B(a, \delta) \text{ s.t. } B(a, \delta) \subseteq \Omega$

由平均值公式 $\Rightarrow |f(a)| = \left| \frac{1}{2\pi} \int_0^{2\pi} f(a + re^{i\theta}) d\theta \right| = M \quad r \in (0, \delta)$

$\Rightarrow M = |f(a + re^{i\theta})| \Rightarrow B(a, \delta) \subseteq \Omega_1$

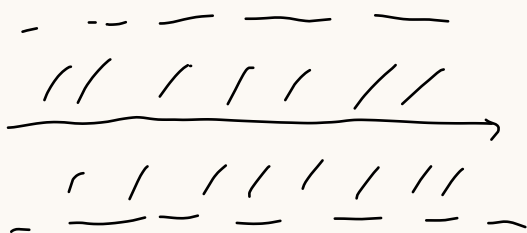
若 $\Omega_1 \neq \emptyset \Rightarrow \Omega_1 = \Omega$ 矛盾 $\Rightarrow \Omega_1 = \emptyset \quad \square$

推论. 设 Ω 有界区域, $f(z)$ 在 Ω 上全纯, $\bar{\Omega}$ 上连续. 则

$$|f(z)| \leq \max_{\partial\Omega} |f(z)| \quad \forall z \in \Omega$$

" $\Leftarrow$ " 成立 iff $f(z) \equiv \text{const.}$

若 Ω 无界, 例 e^z 在 $\{-\frac{\pi}{2} < \text{Im } z < \frac{\pi}{2}\}$



$$|e^z| = |e^x \cdot e^{iy}| = |e^{x \cos y + i e^{x \sin y}}| = e^{x \cos y}$$

也没有“最小值原理”, 如 $f(z) = z$, 但若 $f \neq 0$ 在 Ω 上, 可以处理 $\frac{1}{f(z)}$ 得到所谓的“最小值原理”.

无界区域的最大模原理

设 $F(z)$ 在 $G = \{0 < \text{Im } z < 1\}$ 上全纯, 有界, 且在 $\bar{G}$ 上连续, 若 $\sup_{\partial G} |F| \leq 1$, 则 $|F(z)| \leq 1 \quad \forall z \in G$

pf. 思路是造一个 F_ε , 使

① $|F_\varepsilon| \leq 1$ on ∂G

② $|F_\varepsilon| \rightarrow 0$ as $z \rightarrow \infty$

③ $F_\varepsilon \rightarrow F$ as $\varepsilon \rightarrow 0$

令 $F_\varepsilon(z) = F(z) e^{-\varepsilon z^2}$ 当 $\text{Im } z = 0 \quad z = x \quad |F(z) e^{-\varepsilon x^2}| \leq 1$
 当 $\text{Im } z = 1 \quad z = x + i \quad |F(z) e^{-\varepsilon(x^2-1)}| \leq \begin{cases} e^\varepsilon & |x| < 1 \\ 1 & |x| \geq 1 \end{cases}$

令 ε 充分小即可

若 $0 \leq \text{Im } z \leq 1$, 令 $z = x + iy \quad x \in \mathbb{R} \quad y \in [0, 1]$

$$|F_\varepsilon(z)| = |F(z)| \cdot e^{-\varepsilon(x^2-y^2)} \leq M e^{-\varepsilon x^2 + \varepsilon} \rightarrow 0 \text{ as } |x| \rightarrow \infty$$

so $\exists R > 0$ 当 $|x| > R$, $|F_\varepsilon(z)| < \frac{1}{2}$

最大模点 (x, y) 落在 $[-R, R] \times [0, 1]$ 的有界区域

$$\Rightarrow |F_\varepsilon| \leq 1 \quad \text{for } \forall z \in G, \varepsilon > 0$$

$$\Rightarrow |F| \leq 1 \quad \forall z \in G$$

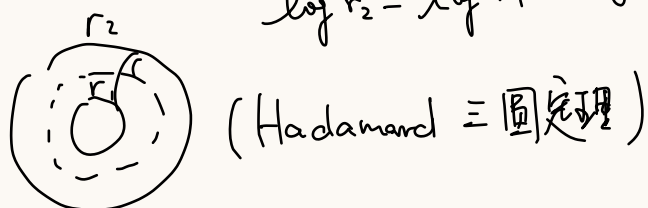
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Rmk. 另一个可行的“辅助函数”是 $F_\varepsilon = F \frac{1}{1 - i\varepsilon z}$.

调和函数的极值原理: $u(x, y)$ 在区域 D 中调和, 且 $u \neq \text{const}$, 则 u 在 D 中的最大值在边界上达到

例. f 在 $\Omega = \{0 < r_1 < |z| < r_2\}$ 内全纯, $\bar{\Omega}$ 上连续, 令 $M = \max_{|z|=r} |f(z)|$, 则

$$\log M(r) \leq \frac{\log r_2 - \log r}{\log r_2 - \log r_1} \log M(r_1) + \frac{\log r - \log r_1}{\log r_2 - \log r_1} \log M(r_2)$$



pf. $\forall \alpha \in \mathbb{R}$. 在 $\{f \neq 0\}$ 上有 $g(z) = \alpha \log |z| + \log |f|$ 调和
 在 $\{f = 0\}$ 上 $g = -\infty$ 达不到最大值

$$\Rightarrow g \leq \max \left\{ \max_{|z|=r_1} g, \max_{|z|=r_2} g \right\} \Rightarrow g \leq \max \{ \alpha \log r_1 + \log M(r_1), \alpha \log r_2 + \log M(r_2) \}$$

$$\frac{1}{2} \alpha \log r_1 + \log M(r_1) = \alpha \log r_2 + \log M(r_2) \quad \square$$

Schwarz 引理. 设 $f: \mathbb{D} \rightarrow \mathbb{D}$ 全纯, $f(0)=0$ 则

1. $|f(z)| \leq |z|$
2. 若对某 $z_0 \neq 0$, $|f(z_0)| = |z_0|$, 则 $f(z) = e^{i\theta} z$
3. $|f'(0)| \leq 1$. 等号成立当 $f(z) = e^{i\theta} z$.

pf. 1. $f(0)=0$, 全纯 $\Rightarrow f(z) = a_1 z + \dots + a_n z^n + \dots$

$$\frac{1}{2} \varphi(z) = \begin{cases} \frac{f(z)}{z} & z \neq 0 \\ a_1 & z = 0 \end{cases} \quad \varphi(z) \text{ 全纯}$$

$$\Rightarrow |\varphi| \leq \max_{|z|=r} |\varphi| \quad z \in B(0, r) \quad r \in (0, 1)$$

$$|\varphi(z)| = \left| \frac{f(z)}{z} \right| \leq \max_{|z|=r} \frac{|f(z)|}{r} \leq \frac{1}{r} \quad \forall z \in B(0, r)$$

$$\stackrel{1}{\Rightarrow} \varphi(z) \leq 1 \quad \forall z \in B(0, 1) \Rightarrow |f(z)| \leq |z|$$

2. $\varphi(z)$ 在内点达到最大模 $\Rightarrow \varphi(z) = \text{const}$

$$3. |\varphi(0)| \leq 1. \quad |f'(0)| = |a_1| = |\varphi(0)| \leq 1 \quad \square$$

定义. ① $f: u \rightarrow v$ 是全纯双射, 则称 f 为共形映射
此时 u, v 称为共形等价 / 双全纯等价

② 区域 $\Omega \rightarrow \Omega$ 的共形映射称为 Ω 的自同构
 $\text{Aut}(\Omega)$ 表示所有 Ω 的自同构集合

这个定义缺少了一些结果的支撑, 不是良性的.

Riemann 曲面只有 $\mathbb{D}, \mathbb{C}, \mathbb{C}$ 在全纯等价意义下

$$\text{定理. } \text{Aut}(\mathbb{C}) = \{az+b \mid a \neq 0\}$$

$$\text{Aut}(\mathbb{C}) = \{ \text{分式线性变换} \}$$

pf. $\text{Aut}(\mathbb{C})$: f 为 $\mathbb{C}$ 上的整函数. 考虑.

∞ 可去: f 为常数

∞ 极点: f 为多项式 $\xrightarrow{\text{单射}}$ 一次多项式

∞ 本性: $f(z_n) \rightarrow A \quad z_n = f^{-1}(f(z_n)) \rightarrow f^{-1}(A) \neq \infty$

$\text{Aut}(\mathbb{C})$ $f(w) = w$. 一次多项式

$f(w) = a$. 令 $\varphi: a \rightarrow \infty$ 为分式线性变换

i.e. $p = \frac{1}{z-a} \Rightarrow \begin{matrix} \mathbb{C} & \xrightarrow{f} & \mathbb{C} & \xrightarrow{p} & \mathbb{C} \\ \infty & \rightarrow & a & \rightarrow & \infty \end{matrix}$

$\Rightarrow \frac{1}{f-a} = cz+d \Rightarrow f = a + \frac{1}{cz+d}$

定理. $f \in \text{Aut}(\mathbb{D})$, $\exists \theta \in \mathbb{R}, \alpha \in \mathbb{D}, f(z) = e^{i\theta} \frac{z-\alpha}{1-\bar{\alpha}z}$! 感觉这个之前算过.

pf: 令 $f(0) = \alpha$. 有 $\varphi: \alpha \mapsto 0 \quad \frac{z-\alpha}{1-\bar{\alpha}z}$

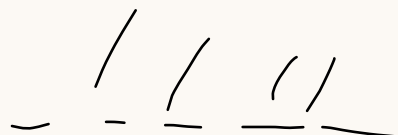
$0 \xrightarrow{f} \alpha \xrightarrow{\varphi} 0 \quad g = \varphi \circ f$

Schwarz $\Rightarrow |g'(0)| \leq 1 \quad h = g^{-1} \Rightarrow |h'(0)| \leq 1$

$\Rightarrow |g'(0)| = |h'(0)| = 1 \xRightarrow{\text{Schwarz}} g = e^{i\theta} z$

$f = \varphi^{-1} \circ g \quad \dots \quad \square$

例. $\text{Aut}(\mathbb{H})$ 思路 $\begin{matrix} \mathbb{H} & \xrightarrow{f} & \mathbb{H} \\ \varphi \downarrow & & \downarrow \varphi \\ \mathbb{D} & \xrightarrow{\bar{f}} & \mathbb{D} \end{matrix} \quad \varphi^{-1} \circ \bar{f} \circ \varphi = f$



不具体计算.

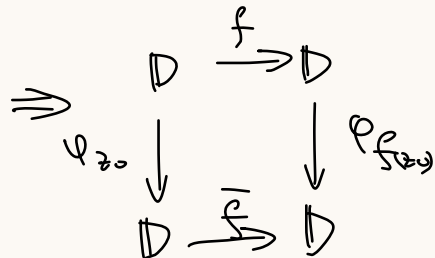
例. $w = f(z): \mathbb{D} \rightarrow \mathbb{D}$ 全纯, 则 (Hint: Use Schwarz Lem)

$$\left| \frac{f(z) - f(z_0)}{1 - \overline{f(z_0)} f(z)} \right| \leq \left| \frac{z - z_0}{1 - \overline{z_0} z} \right|$$

$$\frac{|f'(z)|^2}{1 - |f(z)|^2} \leq \frac{1}{1 - |z|^2}$$

pf. $z_0 \xrightarrow{f} f(z_0) \Rightarrow f = \varphi_{f(z_0)}^{-1} \circ \bar{f} \circ \varphi_{z_0}$

$$\begin{matrix} \varphi_{z_0} \downarrow & & \downarrow \varphi_{f(z_0)} \\ \mathbb{D} & \xrightarrow{\bar{f}} & \mathbb{D} \end{matrix}$$



$$\text{令 } \varphi_a = \frac{z-a}{1-\bar{a}z}$$

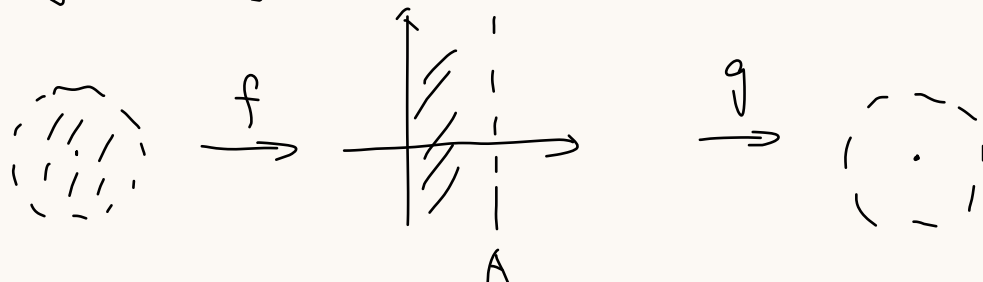
$$\Rightarrow \bar{f} \text{ 为 } |\varphi_{f(z_0)} \circ f(z)| = |\varphi_{z_0}(z)|$$

$$\text{那么由 } |\varphi_{f(z_0)} \circ f \circ \varphi_{z_0}^{-1}| = |\text{Id}| \quad \text{令 } \varphi_{z_0}^{-1}(w) = z \in \mathbb{D}$$

(2) 显然

□

例. $f(0)=0$. f 在 $\mathbb{D}$ 上全纯, $\operatorname{Re} f \leq A$ ($A>0$). 则 $|f(z)| \leq \frac{2A|z|}{1-|z|} \quad \forall z \in \mathbb{D}$



$$\begin{array}{l} 0 \mapsto 0 \\ 2A \mapsto \infty \end{array} \quad g = \frac{z}{z-2A}$$

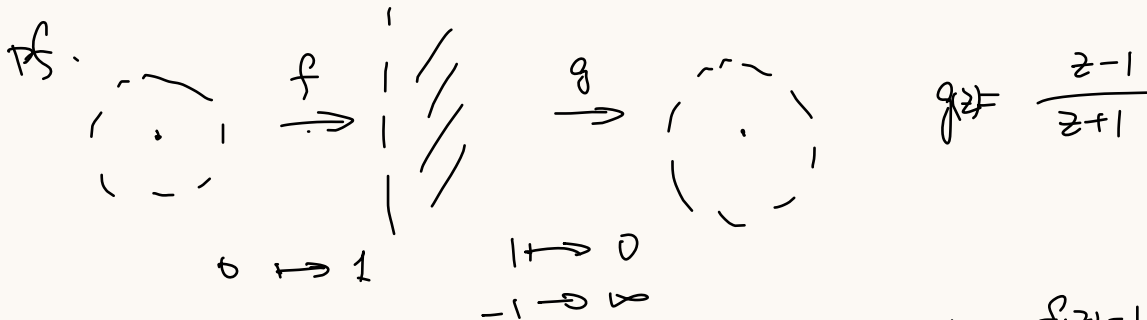
Schwarz

$$\Rightarrow |g \circ f(z)| \leq |z| \quad \text{i.e.}$$

$$g \circ f(z) = \frac{f(z)}{f(z)-2A} = \xi \quad |\xi| \leq |z|$$

$$f(z) = \frac{2A\xi}{1-\xi} \Rightarrow |f(z)| \leq \frac{2A|z|}{1-|z|} \quad \square$$

例. f 在 $\mathbb{D}$ 内全纯 $f(0)=1$, $\operatorname{Re} f > 0$, 则 $\frac{1-|z|}{1+|z|} \leq |f(z)| \leq \frac{1+|z|}{1-|z|}$ (有点像 Harnack...)



$$\Rightarrow g \circ f(0) = 0 \Rightarrow |g \circ f| \leq |\text{Id}| \quad \text{令 } \frac{f(z)-1}{f(z)+1} = \xi \quad (|\xi| \leq |z|)$$

$$f(z) = \frac{1+\xi}{1-\xi} \quad |f(z)| = \left| \frac{1+\xi}{1-\xi} \right| \geq \frac{1-|\xi|}{1+|\xi|} \geq \frac{1-|z|}{1+|z|}$$

$$\leq \frac{1+|\xi|}{1-|\xi|} \leq \frac{1+|z|}{1-|z|} \quad \square$$

上面基本就是利用全纯映射把函数改善为 Schwarz 引理成立的情形。

例. f 在 $|z| < R$ 上全纯, 在 $|z| \leq R$ 上连续. 设

$$M(r) = \max_{|z|=r} |f|, \quad A(r) = \max_{|z|=r} \operatorname{Re} f, \quad \text{则 } M(r) \leq \frac{2r}{R-r} A(R) + \frac{R+r}{R-r} |f(0)| \quad \forall r \in (0, R)$$

pf. ① f 常数 C . $|C| \leq \frac{2r}{R-r} \operatorname{Re} C + \frac{R+r}{R-r} |C|$ 显然.

$$\textcircled{2} f(0)=0 \quad \text{由之前例题, } |f(z)| \leq \frac{2A|z|}{1-|z|}, \quad |z| < 1$$

$$F(z) = f(Rz) \Rightarrow |F(z)| \leq \frac{2A|z|}{1-|z|}$$

$$\Rightarrow |f(Rz)| \leq \frac{2A|Rz|}{R-|Rz|} \Rightarrow f(u) \leq \frac{2A|u|}{R-|u|} \leq \frac{2A(R)|u|}{R-|u|}$$

此即右式.

$$\textcircled{3} g(z) = f(z) - f(0) \\ \Rightarrow \max_{|z|=r} |g| \leq \frac{2r}{R-r} (A(R) - f(0)) \\ \vee \\ \max_{|z|=r} |f| - f(0)$$

例. 设 f 在 $\mathbb{C} \setminus \{0\}$ 上全纯, 0 和 ∞ 都是本性奇点. 则 $\forall 0 < r < \infty$

$$\lim_{r \rightarrow \infty} \frac{\log A(r)}{\log r} = \infty \quad \lim_{r \rightarrow 0} \frac{\log A(r)}{\log \frac{1}{r}} = \infty$$

pf. 两式等价, 只证其一.

$$f = \sum_{n=-\infty}^{-1} a_n z^n + \sum_{n=0}^{\infty} a_n z^n = g + h$$

$$\lim_{r \rightarrow \infty} g = 0 \quad \text{so } |z| \text{ 充分大, } |g| < \varepsilon$$

$$A(r) \geq A_h(r) - \varepsilon \quad \text{当 } |z| \text{ 充分大}$$

由于 ∞ 是本性奇点, $M(r) \rightarrow \infty$ as $r \rightarrow \infty$ $\square$

$$\text{由 } M(r) \leq \frac{2R}{R-r} A(R) + \frac{R+r}{R-r} |f(0)|$$

$$\Rightarrow A(R) \geq \frac{R-r}{2R} M(r) - \frac{R+r}{2R} |f(0)|$$

$R > r > 0$

$$\text{令 } r = \frac{R}{2} \Rightarrow A(R) \geq \frac{1}{2} M\left(\frac{R}{2}\right) - \frac{3}{2} |f(0)| > 0 \quad \text{当 } R \text{ 充分大}$$

现在要估计 $M_h(\frac{R}{2})$ 的阶, 注, 由最大模原理, $M_h(\frac{R}{2})$ 关于 $R \nearrow$

由于 0 为本性奇点 $\Rightarrow \infty$ 是 $h^{(n)}$ 的本性奇点

$$\text{令 } M_n(R) = \max_{|z|=R} |h^{(n)}(z)|$$

$$(|z|=\frac{R}{2}) \quad |h^{(n)}(z)| = \left| \frac{n!}{2\pi i} \int_{\gamma} \frac{h(\xi)}{(\xi-z)^{n+1}} d\xi \right|$$

$$\leq \frac{n!}{2\pi} M(R-\delta) \int_{\gamma} \frac{1}{(\frac{R}{2}-\delta)^{n+1}} d\xi$$

$$= \frac{n! M(R-\delta)}{(\frac{R}{2}-\delta)^n}$$

$$\Rightarrow M_n(\frac{R}{2}) \leq \frac{n!}{(\frac{R}{2}-\delta)^n} M(R-\delta) \leq \frac{n!}{(\frac{R}{2}-\delta)^{n+1}} \left(\frac{2R}{\delta} A(R) + \frac{2R-\delta}{\delta} |f^{(n)}(0)| \right)$$

$$\leq \frac{2R \cdot n!}{(\frac{R}{2}-\delta)^n \delta} (A(R) + |f^{(n)}(0)|)$$

$$\delta = \frac{R}{4} \Rightarrow M_n(\frac{R}{2}) \leq \frac{2R \cdot n!}{(\frac{R}{4})^{n+1}} (A(R) + |f^{(n)}(0)|)$$

$$= \frac{2^{n+3} \cdot n!}{R^n} (A(R) + |f^{(n)}(0)|)$$

$$\Rightarrow A(R) \geq \frac{R^n}{2^{n+3} n!} M_n(\frac{R}{2}) - |f^{(n)}(0)|$$

$$\frac{\log A(R)}{\log R} = \frac{n \log R - (2n+3) \log 2 - \log n! + \log (M_n(\frac{R}{2}) - |f^{(n)}(0)|)}{\log R}$$

$$\text{as } r \rightarrow \infty, \quad \frac{\log A(r)}{\log R} \geq n, \quad \text{let } n \rightarrow \infty. \quad \square$$

最后的证明是对的,但是感觉有点粗糙...

另证. 只要有 $\forall n, \exists r_n$. 当 $r > r_n$ 有 $M(r) \geq r^n$ 即可.

令 $g = \frac{f(z)}{z^n}$ 用泰勒展开. (n 是本征奇点)

可找 r_n (只有一点, 或者一列, 要连续化, 这一步只要最大模)

使 $\max_{r_n} |g| \geq M+1$

现在 $r > r_n$ 由最大模 $\max_r |g| \geq M+1$ □

感觉这个证明更自然.

辐角原理.

设 0 是 f 的极点或全纯, 则 $f = a_m z^m + a_{m+1} z^{m+1} + \dots$ $m \in \mathbb{Z}$

联想
亚纯

极点 $m < 0$. 全纯 $m \geq 1$

定义. 记上述 $m = \text{Ord}_0 f$ 一般记 $\text{Ord}_z f = m$

在 $B(0, \delta)$ 中. $f(z) = a_m z^m (1 + h(z))$, 则 $h(z)$ 在 $B(0, \delta)$ 中全纯 (δ 取小一些)

$$f'(z) = a_m m z^{m-1} (1 + h(z)) + a_m z^m h'(z)$$

$$\frac{f'(z)}{f(z)} = \frac{m}{z} + \frac{h'(z)}{1+h(z)} \quad \text{全纯}$$

$$\Rightarrow \frac{1}{2\pi i} \int_{|z|=\varepsilon} \frac{f'(z)}{f(z)} dz = m = \text{Ord}_0 f \quad \text{这一观察归结为以下结果}$$

定理. 若 f 在 D 内亚纯, γ 是 D 内简单闭曲线. f 在 γ 上无零点, 无极点. 则

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \sum_{z \in \gamma^{\circ}} \text{ord}_z f = \gamma \text{ 内零点重数之和} - \gamma \text{ 内极点阶数之和}$$

$$= N(f, \gamma) - P(f, \gamma)$$

pf. 若 z_0 不是零点/极点 $\Rightarrow \frac{f'}{f}$ 在 z_0 处全纯.

设 γ 内的零点/极点为 $\{z_1, \dots, z_n\}$, 则 Cauchy 定理

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \sum_{i=1}^n \frac{1}{2\pi i} \int_{|z-z_i|=\varepsilon} \frac{f'(z)}{f(z)} dz = \sum_{i=1}^n \text{ord}_{z_i} f \quad \square$$

函数 设 γ 为闭曲线 (未必简单). 设 $\gamma = [a, b] \rightarrow \mathbb{C}$. $z(t) = \rho(t) e^{i\theta(t)}$

$$z(a) = z(b), \text{ 则 } \int_{\gamma} \frac{dz}{z} = \int_a^b \frac{1}{\rho(t) e^{i\theta(t)}} \cdot (\rho'(t) e^{i\theta(t)} + \rho(t) \cdot i\theta'(t) e^{i\theta(t)}) dt$$

$$= \int_a^b \left(\frac{\rho'(t)}{\rho(t)} + i\theta'(t) \right) dt = \log \rho(b) - \log \rho(a) + i \Delta_{\gamma} \text{Arg } z$$

$$= i \Delta_{\gamma} \text{Arg } z$$

$$\text{then } \frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z} = \frac{1}{2\pi} \Delta \text{Arg } z = \text{曲线 } \gamma \text{ 绕原点的圈数}$$

$$\Rightarrow N(f, \gamma) - P(f, \gamma) = \frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz \quad \text{辐角原理}$$

例. $f(z) = (z-1)(z-2)^3(z-4)$ $\gamma: |z|=3$

$N=4, P=0$

$\Delta_r \text{Arg } f(z) = \Delta_\gamma (z-1) + 3 \Delta_\gamma (z-2) + \Delta_\gamma (z-4) = 8\pi$

定理 (Rouché) f, g 在 D 内全纯, γ 可求长简单闭曲线, γ 上 $|g| < |f|$

则 f 和 $f \pm g$ 在 γ 内部零点个数相同.

pf. $f, f \pm g$ 在 γ 上无零点

$N(f, \gamma) = \frac{1}{2\pi} \Delta_\gamma \text{Arg } f$

$N(f \pm g, \gamma) = \frac{1}{2\pi} \Delta_\gamma \text{Arg } f \pm g = \frac{1}{2\pi} \left(\Delta_\gamma \text{Arg } f \pm \Delta_\gamma \text{Arg} \left(1 + \frac{g}{f} \right) \right)$

只要证 $\Delta_\gamma \text{Arg} \left(1 + \frac{g}{f} \right) = 0$

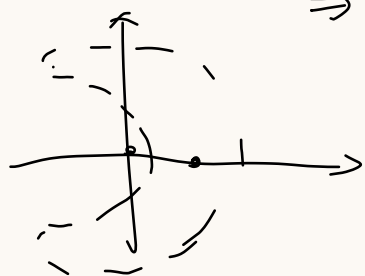
因 $\left| \frac{g}{f} \right| < 1 \Rightarrow \left| \left(1 + \frac{g}{f} \right) - 1 \right| < 1$ on γ 在 0 外 $\square$

定理. $f, g \in H(D)$. γ 上 $|g| < |f| + |f+g|$. 则 $f, f+g$ 在 γ 内部有相同零点个数

pf. 只要证 $\Delta_\gamma \text{Arg} \left(1 + \frac{g}{f} \right) = 0$

令 $w = 1 + \frac{g}{f} \Rightarrow \gamma$ 上: $|(w-1)f| < |f| + |fw|$

$\Rightarrow \gamma$ 上: $|w-1| < 1 + |w|$



到 $w \in \mathbb{C} \setminus (-\infty, 0]$

$\Rightarrow \Delta_\gamma \text{Arg } w = 0$ $\square$

总结: 零点个数相同, 只要 $\begin{cases} |f \pm g| < |f| \\ |f \pm g| < |f| + |g| \end{cases} \quad \forall z \in \gamma.$

例. $z^4 - 6z + 3 = 0$ 在 $|z| < 1$ 与 $1 < |z| < 2$.

令 $f = z^4 - 6z + 3$
 $g = -6z$

$|f - g| \leq 4 < 6 = |g|$

$\Rightarrow f$ 与 g 在 $|z| < 1$ 内 $\dots \Rightarrow 1$ 个零点

$f = z^4 - 6z + 3 \quad g = z^4 \quad |f - g| = |6z - 3| \leq 15 < 16 = |g|$

$\Rightarrow f$ 与 g 在 $|z| < 2$ 内 $\dots \quad 1 < |z| < 2$ 3 个. $\square$

例. 代数基本定理.

$$p(z) = a_n z^n + \dots + a_1 z + a_0 \quad (a_n \neq 0)$$

$$f = p, \quad g = a_n z^n$$

$$|f - g| = |a_{n-1} z^{n-1} + \dots + a_1 z + a_0| < |g| \quad \text{when } |z| \text{ is big enough}$$

$\Rightarrow f$ 与 g 在 $|z| < R$ 中零点个数相同.

$$|f| \geq |g| - |f - g| > 0 \quad \text{when } |z| > R.$$

$\Rightarrow n$ 个零点.

□

定理. 开映射定理 非常数的全纯函数是开映射

Topo version.

连续单射 $f: U \rightarrow \mathbb{R}^n$

Pf. 引理. $f \in H(D)$ $z_0 \in D$, $w_0 = f(z_0)$

若 z_0 是 $f(z) - w_0$ 的 m 阶零点, 那么对充分小

的 $\rho > 0$ 有 $\delta > 0$, 使 $\forall a \in B(w_0, \delta)$, $f(z) - a$ 在 $B(z_0, \rho)$ 中恰有 m 个零点.

证. 由零点孤立性. $\exists \rho > 0$, $f(z) - w_0$ 在 $\overline{B(z_0, \rho)}$ 中仅有 z_0 为零点

$$\text{记 } \min \{ |f(z) - w_0| : |z - z_0| = \rho \} = \delta > 0$$

$$\text{当 } z \in \{ |z - z_0| = \rho \} \quad |f(z) - w_0| \geq \delta > |w_0 - a|$$

$\Rightarrow f(z) - w_0$ 与 $f(z) - a$ 在 $B(z_0, \rho)$ 中有 m 个零点

$\Rightarrow f(B(z_0, \rho)) \supseteq B(w_0, \delta)$ 内点到内点

□

用开映射定理证明最大模原理.

设 f 在 Ω 中达到最大模 设点 $z_0 \Rightarrow \exists \rho > 0, \delta > 0$

$f(B(z_0, \rho)) \supseteq B(f(z_0), \delta)$ 但 $B(f(z_0), \delta)$ 中有点模大于 $f(z_0)$.

例 $z^4 + 2z^3 - 2z + 10 = 0$ 在每一象限内恰一根.

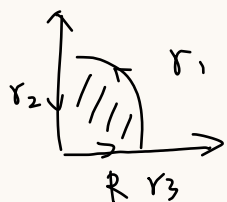
$$P(z) = (x^2 - 1)(x + 1)^2 + 1 > 0 \quad z \in \mathbb{R}$$

$$P(z) = P(iy) = y^4 + 10 - 2iy(y^2 + 1) \neq 0 \quad z \in i\mathbb{R}$$

排除轴上零点

无实/纯虚根

只要证 $P(z)$ 在第一象限仅一根。



$$r = r_1 + r_2 + r_3$$

$$N = \frac{1}{2\pi} \Delta_r \text{Arg } P(z)$$

$$\Delta_{r_3} \text{Arg } P(z) = 0$$

$$\begin{aligned} \Delta_{r_1} \text{Arg } P(z) &= \Delta_{r_1} \text{Arg } z^4 \left(1 + \frac{2z^3 - 2z + 10}{z^4} \right) \\ &= 2\pi + \varepsilon(R) \quad \varepsilon \rightarrow 0 \text{ as } R \rightarrow \infty \end{aligned}$$

$$\Delta_{r_2} \text{Arg } P(z) = \text{Arg } P(10) - \text{Arg} \left(1 - 2i \frac{R(R^3 + 10)}{R^4 + 10} \right)$$

$$\rightarrow 0$$

$$\Rightarrow N = 1.$$

□

例、 $0 < a_0 < \dots < a_n$. 求证: $a_0 + a_1 \cos \theta + \dots + a_n \cos n\theta$ 在 $(0, 2\pi)$ 中有 n 个不同零点。

pf. 先证 $a_0 + a_1 z + \dots + a_n z^n$ 在 $|z| < 1$ 内 n 个根. 首先零点不是非负实数.

$$(1-z)(a_0 + \dots + a_n z^n) = a_0 + (a_1 - a_0)z + \dots + (a_n - a_{n-1})z^n - a_n z^{n+1} \Rightarrow$$

设 z_0 为 $P_n(z)$ 零点. $|z_0| \geq 1$

$$\Rightarrow |a_n z_0^{n+1}| = |a_0 + (a_1 - a_0)z_0 + \dots + (a_n - a_{n-1})z_0^n|$$

$$z_0 \notin \mathbb{R} \Rightarrow a_0 + (a_1 - a_0)z_0 + \dots + (a_n - a_{n-1})z_0^n$$

$$\Rightarrow a_n (|z_0|^{n+1} - |z_0|^n) + \dots + a_0 (|z_0| - 1) < 0 \quad \text{y}$$

$$\Rightarrow |z_0| < 1.$$

$$\cos \theta = \frac{e^{i\theta} + e^{-i\theta}}{2} \Rightarrow \exists z = e^{i\theta} \quad \cos k\theta = \frac{e^{ik\theta} + e^{-ik\theta}}{2} = \frac{z^k + z^{-k}}{2}$$

$$\Rightarrow a_0 + a_1 \frac{1}{2} (z + \frac{1}{z}) + \dots + a_n \frac{1}{2} (z^n + \frac{1}{z^n})$$

$$= \frac{1}{2} z^{-n} \left(a_0 z^{2n} + a_1 z^{2n-1} + \dots + a_n + a_0 z^n + a_1 z^{n-1} + \dots + a_n z \right) \text{ 至多 } 2n \text{ 个根}$$

$$\Rightarrow \Delta_c \text{Arg } P(z) = 2\pi \cdot n \quad \left(\Rightarrow \exists \text{ 至少 } 2n \text{ 个不同的 } \theta \text{ s.t. } \text{Re } P(e^{i\theta}) = 0 \right)$$

$$C: |z|=1$$

$$= \frac{1}{2} P\left(\frac{1}{z}\right) + P(z)$$

$$\text{LHS} = \text{Re } P(z) + \text{Re } P\left(\frac{1}{z}\right)$$

□

||| 附题理法.

单叶函数 (单射)

定理. $f \in H(D)$ 单叶 $\Rightarrow f'(z) \neq 0 \quad \forall z \in D$

pf. 若 $f'(z_0) = 0 \quad z_0 \in D$. (用导数造重根) z_0 是 $f(z) - f(z_0)$ 的 m 级零点 $m \geq 2$.
取充分小 $\rho > 0$. 在 $B(z_0, \rho)$ 上. 有 $\delta > 0$. 使 $f(z)$ 在附近没有别的零点

$$f(B(z_0, \rho)) \supseteq B(f(z_0), \delta) \Rightarrow \text{取 } a \in B(f(z_0), \delta)$$

$$\exists z_1, z_2 \in B(z_0, \rho) \quad s.t. \quad f(z_1) = a, \quad f(z_2) = a.$$

$$\text{而 } f'(z_1) = f'(z_2) = 0 \Rightarrow \text{矛盾} \quad \square$$

上述结果又是充分的. 逆命题的例子是 e^z

当然. 由实的反函数定理. $f'(z) \neq 0$ 得到局部单叶问题不大. 如下

定理. $f \in H(D)$. 若 $z_0 \in D, f'(z_0) \neq 0 \Rightarrow f$ 在 z_0 的邻域单叶.

pf. $f'(z_0) \neq 0 \Rightarrow z_0$ 是 $f(z) - f(z_0)$ 的一阶零点 $\Rightarrow \forall \rho$ 充分小, $\exists \delta > 0$.

$s.t.$ 在 $B(z_0, \rho)$ 上. $\forall a \in B(f(z_0), \delta), f(z) - a$ 仅有一个零点.

由 f 的连续性. f 在 $B(z_0, \tilde{\rho})$ 上单叶. ($\tilde{\rho} < \rho$).

最后拉回 $B(f(z_0), \delta)$ 时不能用 f^{-1} 大范围拉回. 不然失去了 $B(z_0, \rho)$ 对 f 的控制.

定理. 若 $w = f(z)$ 在 D 上单叶全纯. $G = f(D)$. 则反函数 $z = g(w)$ 在 G 上单叶全纯, $g'(w) = \frac{1}{f'(z)}$.

pf. 首先 g 连续, 因为是开映射. 也显然单叶.

$$\lim_{w \rightarrow w_0} \frac{g(w) - g(w_0)}{w - w_0} = \lim_{w \rightarrow w_0} \frac{1}{\frac{w - w_0}{g(w) - g(w_0)}} = \lim_{w \rightarrow w_0} \frac{1}{\frac{f \circ g(w) - f \circ g(w_0)}{g(w) - g(w_0)}}$$

$$\stackrel{\text{连续}}{=} \lim_{z \rightarrow z_0} \frac{1}{\frac{f(z) - f(z_0)}{z - z_0}} = \frac{1}{f'(z_0)} \quad \square$$

留数

定义. 设 f 在 $0 < |z-a| < r$ 上全纯. $\text{Res}(f, a) := \frac{1}{2\pi i} \int_{|z-a|=r} f(z) dz$, $0 < r < r$ 与 r 选取无关

由 Laurent 展开知 $\text{Res}(f, a) = C_{-1}$

命题. a 是 f 的 m 阶极点. 那有 $\text{Res}(f, a) = \frac{1}{(m-1)!} \lim_{z \rightarrow a} \left(\frac{d^{m-1}}{dz^{m-1}} (z-a)^m f(z) \right)$

pf. $f(z) = \frac{g(z)}{(z-a)^m}$ $g(z)$ 在 a 处全纯且 $g(a) \neq 0$

$$\Rightarrow \text{Res}(f, a) = \frac{1}{2\pi i} \int_{|z-a|=r} \frac{g(z)}{(z-a)^m} dz = \frac{1}{(m-1)!} \frac{d^{m-1}}{dz^{m-1}} g \Big|_{z=a} = \dots \quad \square$$

推论. 对一阶极点 $\text{Res}(f, a) = \lim_{z \rightarrow a} (z-a)f(z)$

命题. $f = \frac{g}{h}$, $g(a) \neq 0$, $h(a) = 0$, $h'(a) \neq 0$, g, h 都有 a 处全纯, 则

$$\text{Res}(f, a) = \frac{g(a)}{h'(a)}.$$

$$\begin{aligned} \text{pf. } \text{Res}(f, a) &= \frac{1}{2\pi i} \int_{|z-a|=r} \frac{g(z)}{h(z)} dz = \lim_{z \rightarrow a} (z-a) \frac{g(z)}{h(z)} \\ &= \lim_{z \rightarrow a} \frac{g(z)}{\frac{h(z) - h(a)}{z-a}} = \frac{g(a)}{h'(a)} \quad \square \end{aligned}$$

定理. (留数定理) 设 $f(z)$ 在 r 内部 $D \setminus \{z_1, \dots, z_n\}$ 全纯且在 $\partial \setminus \{z_1, \dots, z_n\}$ 上连续

$$\text{则 } \int_{\gamma} f(z) dz = \sum_{k=1}^n \text{Res}(f, z_k)$$

这是 Cauchy 定理. ...

无穷远点留数 $\text{Res}(f, \infty) = -\frac{1}{2\pi i} \int_{|z|=R} f(z) dz \quad (R > R) = -C_{-1}$

定理. 设 f 在 $\mathbb{C} \setminus \{z_1, \dots, z_n\}$ 上全纯, 则 f 所有孤立奇点的留数之和为 0

$$\sum_{k=1}^n \text{Res}(f, z_k) = \text{Res}(f, \infty)$$

还是 Cauchy 定理.

例 $I = \int_{|z|=2} \frac{z^5}{1+z^6} dz = -2\pi i \text{Res}(f, \infty) = 2\pi i C_{-1} = 2\pi i$

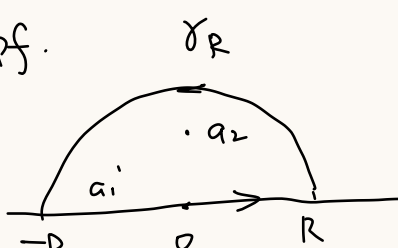
$$\frac{z^5}{1+z^6} = \frac{z^5}{z^6} \left(1 - \frac{1}{z^6} + \dots \right) = \frac{1}{z} - \dots$$

实积分的计算

$\int_{-\infty}^{\infty} f(x) dx$ 型.

定理 设 f 在 $\mathbb{H} \setminus \{a_1, \dots, a_n\}$ 上全纯, $\overline{\mathbb{H}} \setminus \{a_1, \dots, a_n\}$ 上连续. 若

$$\lim_{z \rightarrow \infty} z f(z) = 0 \quad \text{则} \quad \int_{-\infty}^{\infty} f(x) dx = 2\pi i \sum_{k=1}^n \text{Res}(f, a_k).$$

pf. 

$$\int_{-R}^R f(x) dx + \int_{\gamma_R} f(z) dz = 2\pi i \sum_{k=1}^n \text{Res}(f, a_k)$$

$\uparrow$
残数定理

$$\text{记 } M(R) = \max_{z \in \gamma_R} |f(z)|$$

$$\Rightarrow R M(R) \rightarrow 0 \quad \text{as } R \rightarrow \infty$$

$$\Rightarrow \left| \int_{\gamma_R} f(z) dz \right| = \left| \int_0^\pi f(R e^{i\theta}) \cdot R i e^{i\theta} d\theta \right|$$

$$\leq \int_0^\pi R |f(R e^{i\theta})| d\theta \leq \pi R M(R) \rightarrow 0 \quad \text{as } R \rightarrow \infty$$

$$\Rightarrow \int_{-\infty}^{\infty} f(x) dx = \sum_{k=1}^n \text{Res}(f, a_k). \quad \square$$

推论. $f(x) = \frac{p(x)}{q(x)} \quad \deg q - \deg p \geq 2.$

$$\int_{-\infty}^{\infty} f(x) dx = 2\pi i \sum_{k=1}^n \text{Res}\left(\frac{p(z)}{q(z)}, a_k\right) \quad a_k \text{ 是 } q(z) \text{ 在 } \mathbb{H} \text{ 上的根}$$

例 $\int_{-\infty}^{\infty} \frac{dx}{(x^2+1)^{n+1}} = 2\pi i \text{Res}\left(\frac{1}{(z^2+1)^{n+1}}, i\right)$

$$\text{Res}\left(\frac{1}{(z^2+1)^{n+1}}, i\right) = \frac{1}{n!} \left(\frac{(z-i)^{n+1}}{(z^2+1)^{n+1}} \right)^{(n)} \Big|_{z=i}$$

$$= \frac{1}{n!} \left((z+i)^{-(n+1)} \right)^{(n)} \Big|_{z=i}$$

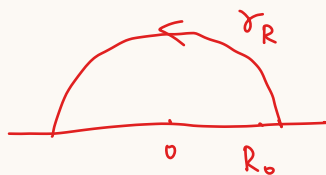
$$= \frac{(2n)!}{(n!)^2} (z+i)^{-2n-1} \Big|_{z=i}.$$

$\square$

$$\int_{-\infty}^{\infty} e^{i\alpha x} f(x) dx \text{ 型}$$

同理. f 在 $\{R_0 \leq |z| < \infty, \operatorname{Im} z \geq 0\}$ 上连续 $\lim_{\substack{R \rightarrow \infty \\ \operatorname{Im} z \geq 0}} f(z) = 0$

$$\forall \alpha > 0. \lim_{R \rightarrow \infty} \int_{\gamma_R} e^{i\alpha z} f(z) dz = 0$$



直接计算

$$\begin{aligned} \left| \int_{\gamma_R} e^{i\alpha z} f(z) dz \right| &= \left| \int_0^\pi e^{i\alpha(R\cos\theta + iR\sin\theta)} f(Re^{i\theta}) Rie^{i\theta} d\theta \right| \\ &\leq \int_0^\pi R M(R) \cdot e^{-\alpha R \sin\theta} d\theta \leq 2RM(R) \int_0^{\pi/2} e^{-\alpha R \cdot \frac{2}{\pi}\theta} d\theta \\ &= \frac{\pi}{2} M(R) (1 - e^{-\alpha R}) \rightarrow 0 \text{ as } R \rightarrow \infty. \quad \square \end{aligned}$$

$$\text{Dbln. } \int_{-\infty}^{\infty} f(x) e^{i\alpha x} dx = 2\pi i \sum_{i=1}^n \operatorname{Res}(e^{i\alpha z} f(z), a_i)$$

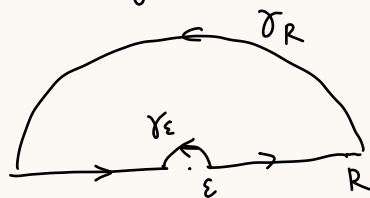
$$\text{例} \int_{-\infty}^{\infty} \frac{\cos \alpha x}{b^2 + x^2} dx = \operatorname{Re} \left(2\pi i \operatorname{Res} \left(\frac{e^{i\alpha z}}{b^2 + z^2}, ib \right) \right)$$

$$\operatorname{Res} \left(\frac{e^{i\alpha z}}{b^2 + z^2}, ib \right) = \frac{e^{-\alpha b}}{2ib}$$

$$\text{LHS} = 2\pi \frac{e^{-\alpha b}}{2b} = \frac{\pi}{b} e^{-\alpha b}. \quad \square$$

$\mathbb{R}$ 上有奇点

$$\text{例} \int_0^{\infty} \frac{\sin x}{x} dx = \frac{1}{2} \int_{-\infty}^{\infty} \frac{\sin x}{x} dx$$



$$\left(\int_{\epsilon}^R + \int_{\gamma_R} + \int_{-R}^{-\epsilon} - \int_{\gamma_\epsilon} \right) \frac{e^{iz}}{z} dz = 0$$

$$\text{由之前引理 } \lim_{R \rightarrow \infty} \int_{\gamma_R} \frac{e^{iz}}{z} dz = 0$$

$$\text{现在算 } \lim_{\epsilon \rightarrow 0} \int_{\gamma_\epsilon} \frac{e^{iz}}{z} dz$$

$$\int_{\gamma_\epsilon} \frac{e^{iz}}{z} dz = \int_0^\pi \frac{e^{i\epsilon e^{i\theta}}}{\epsilon e^{i\theta}} \cdot \epsilon i e^{i\theta} d\theta = i \int_0^\pi e^{i\epsilon e^{i\theta}} d\theta \rightarrow \pi i \text{ as } \epsilon \rightarrow 0$$

$$\Rightarrow \epsilon \rightarrow 0, R \rightarrow \infty \Rightarrow \int_{-\infty}^{\infty} \frac{\sin x}{x} dx = \pi$$

$$\text{例} \int_{-\infty}^{\infty} \frac{\sin^3 x}{x^3} dx = \int_{-\infty}^{\infty} \left(\frac{e^{ix} - e^{-ix}}{2i} \right)^3 \cdot \frac{1}{x^3} dx$$

$$= \int_{-\infty}^{\infty} \frac{3\sin x - \sin 3x}{4x^3} dx$$

类似选择围道. 但是 $\frac{1}{4x^3}$ 难以处理. 以下.

$$\lim_{\varepsilon \rightarrow 0} \int_{\gamma_\varepsilon} z^k = ? \quad k \in \mathbb{Z}.$$



$$\text{令 } z = \varepsilon e^{i\theta} \Rightarrow \int_0^\pi \varepsilon^k e^{ik\theta} \cdot \varepsilon i e^{i\theta} d\theta$$

$$= \varepsilon^{k+1} \int_0^\pi e^{i(k+1)\theta} d\theta$$

$$= \varepsilon^{k+1} \frac{(-1)^{k+1} - 1}{k+1} \rightarrow \begin{cases} 0 & k \neq 0, \text{ 或 } k \leq -2 \text{ 奇} \\ \text{不存在} & k \leq -2 \text{ 偶} \\ \pi i & k = -1. \end{cases}$$

将 $3e^{iz} - e^{3iz}$ 展开

$$3\left(1 + iz + \frac{-z^2}{2} + \frac{-iz^3}{6} \dots\right) - \left(1 + 3iz + \frac{-9z^2}{2} + \frac{-27iz^3}{6} \dots\right)$$

$$\int_{\gamma_\varepsilon} \frac{2 + 3z^2 + 4iz^3}{4z^3} \rightarrow \frac{3}{4}\pi i$$

有理函数

$$\int_0^{2\pi} R(\cos \theta, \sin \theta) d\theta$$

$$z = e^{i\theta} \quad \frac{dz}{iz} = d\theta$$

$$\int_0^{2\pi} \frac{d\theta}{3 + \cos \theta + 2\sin \theta} = \int_{|z|=1} \frac{1}{3 + \frac{1}{2}(z + \frac{1}{z}) + \frac{1}{i}(z - \frac{1}{z})} \frac{dz}{iz}$$

$$= \int_{|z|=1} \frac{dz}{3iz + \frac{1}{2}z^2 + \frac{1}{2} + z^2 - 1} = \int_{|z|=1} \frac{dz}{(1 + \frac{1}{2})z^2 + 3iz + (\frac{1}{2} - 1)} \quad \text{然后计算 Res}$$

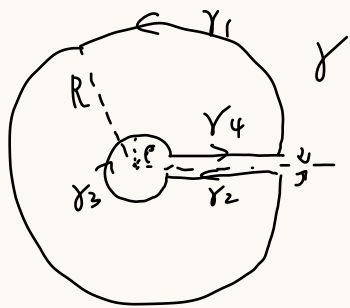
多值函数

$$\text{例} \int_0^\infty \frac{dx}{(1+x)x^\alpha} \quad 0 < \alpha < 1$$

$$\text{若令 } f(z) = \frac{1}{(1+z)z^\alpha}$$

z^α 支点多为 0 和 ∞

构造如下围道



在围道上积分有

$$\int_{\gamma} f(z) dz = 2\pi i \operatorname{Res}(f, -1)$$

$$\gamma_1: \left| \int_{|z|=R} f dz \right| \leq \frac{2\pi R}{(R-1)R^\alpha} \sim R^{-\alpha} \rightarrow 0 \quad R \rightarrow \infty$$

$$\gamma_2: \int_{\rho}^R \frac{1}{(1+x)x^\alpha} dx$$

$$\gamma_3: \left| \int_{|z|=\rho} f dz \right| \leq \frac{2\pi \rho}{(1-\rho)\rho^\alpha} \sim 2\pi \rho^{1-\alpha} \rightarrow 0 \quad \rho \rightarrow 0$$

$$\gamma_4: \int_{\rho}^R \frac{1}{(1+x)x^\alpha} dx$$

$$\Rightarrow \int_0^\infty \frac{1}{(1+x)x^\alpha} = \frac{2\pi i}{1 - e^{-i\alpha 2\pi}} \operatorname{Res}(f, -1)$$

$$\operatorname{Res}(f, -1) = \frac{1}{z^\alpha} \Big|_{z=-1} = \frac{1}{|z|^\alpha e^{\pi\alpha i}} = e^{-\pi\alpha i}$$

$$\Rightarrow \int_0^\infty \frac{1}{(1+x)x^\alpha} = \frac{\pi}{\sin \pi\alpha} \quad 0 < \alpha < 1.$$

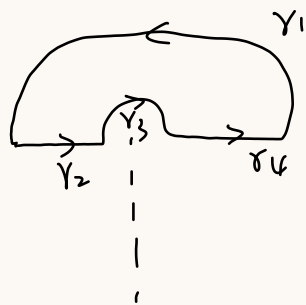
□

再算几个经典积分结束.

$$1. I = \int_0^\infty \frac{\log x}{(1+x^2)^2} dx$$

$$\text{令 } f(z) = \frac{\log z}{(1+z^2)^2}$$

若 keyhole contour 注意到多值在分子, 会抵消.



$$\int_{\gamma_1} f dz \rightarrow 0$$

$$\int_{\gamma_2} f dz = \int_{-R}^{-\rho} \frac{\log |x| + i\pi}{(1+x^2)^2} dx$$

$$\Rightarrow I + i\pi \int_0^\infty \frac{1}{(1+x^2)^2} dx$$

$$\left| \int_{\gamma_3} f dz \right| \leq \log \rho \cdot \pi \rho \rightarrow 0 \quad \text{as } \rho \rightarrow 0$$

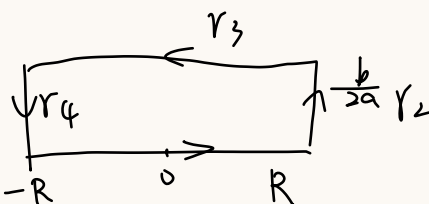
$$\int_{\gamma_4} f dz = \int_{\rho}^R \frac{\log x}{(1+x^2)^2} dx \rightarrow I$$

$$\Rightarrow 2I + i\pi \int_0^\infty \frac{1}{(1+x^2)^2} dx = 2\pi i \operatorname{Res}(f, i)$$

$$2I + \pi i \cdot \frac{\pi}{4} = 2\pi i \Rightarrow I = -\frac{\pi}{4} \quad \square$$

2. Poisson 积分和 Fresnel 积分

$$1. I = \int_0^\infty e^{-ax^2} \cos bx \, dx$$

$$\frac{1}{2} \oint f(z) = e^{-az^2} \quad \text{取围道}$$


$$\int_{\gamma} f \, dz = 0 \quad \int_{\gamma_1} f(z) = \int_{-R}^R e^{-ax^2} \, dx \rightarrow \sqrt{\frac{\pi}{a}}$$

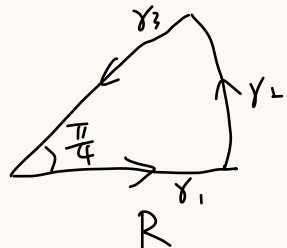
$$\int_{\gamma_2} f(z) \, dz \rightarrow 0$$

$$\int_{\gamma_4} f(z) \, dz \rightarrow 0$$

$$\begin{aligned} \int_{\gamma_3} f(z) \, dz &= \int_{-R}^R e^{-a(x + \frac{b}{2a}i)^2} \, dx \\ &= \int_{-R}^R e^{-a(x^2 + \frac{b}{a}xi - \frac{b^2}{4a^2})} \, dx \\ &= - \int_{-R}^R e^{-ax^2 + bxi + \frac{b^2}{4a^2}} \, dx \end{aligned}$$

$$\begin{aligned} &= -e^{\frac{b^2}{4a^2}} \int_{-R}^R e^{-ax^2} \cdot e^{ibx} \, dx \\ \Rightarrow e^{-\frac{b^2}{4a^2}} \int_{-\infty}^{\infty} e^{-ax^2} \cos bx \, dx &= \sqrt{\frac{\pi}{a}} \quad \square \end{aligned}$$

$$2. \int_0^\infty \cos x^2 \, dx \quad \int_0^\infty \sin x^2 \, dx$$



$$f(z) = e^{iz^2}$$

$$\oint f(z) \, dz = 0 \quad \int_{\gamma_1} f \, dz = \int_0^R e^{ix^2} \, dx$$

$$\left| \int_{\gamma_2} f \, dz \right| = \left| \int_0^{\frac{\pi}{4}} e^{i(Re^{i\theta})^2} \cdot iR e^{i\theta} \, d\theta \right| \leq R \int_0^{\frac{\pi}{4}} e^{-R^2 \sin 2\theta} \, d\theta \leq R \int_0^{\frac{\pi}{4}} e^{-R^2 \cdot \frac{\pi}{2} \cdot 2\theta} \, d\theta$$

$$= \frac{\pi}{4R} (1 - e^{-R^2}) \rightarrow 0 \quad \text{as } R \rightarrow \infty$$

$$\int_{\gamma_3} f(z) \, dz = \int_R^0 e^{i(re^{i\frac{\pi}{4}})^2} e^{i\frac{\pi}{4}} \, dr = -e^{i\frac{\pi}{4}} \int_0^R e^{-r^2} \, dr \rightarrow -e^{i\frac{\pi}{4}} \frac{\sqrt{\pi}}{2}$$

$$\Rightarrow \int_0^\infty e^{ix^2} \, dx = \left(\frac{\sqrt{2}}{2} + i \frac{\sqrt{2}}{2} \right) \frac{\sqrt{\pi}}{2} \Rightarrow \int_0^\infty \cos x^2 \, dx = \frac{1}{2} \sqrt{\frac{\pi}{2}} = \int_0^\infty \sin x^2 \, dx \quad \square$$

全纯开拓 就是全纯的延拓, 名字而已...

$$f \in H(D), \quad \tilde{f} \in H(G) \quad G \supsetneq D, \text{ 都是区域. } \tilde{f}|_D = f$$

例 $f(z) = \sum_{n=0}^{\infty} z^n \quad D = \{ |z| < 1 \}$

$$\tilde{f} = \frac{1}{1-z} \quad G = \mathbb{C} \setminus \{1\}$$

NOTE. 1. 不是所有全纯函数都能开拓. e.g. $\sum_{n=0}^{\infty} z^{n!}$

2. 由唯一性定理. $D \xrightarrow{f} G$ 的开拓唯一.

定理 (Painlevé 定理) 区域 Ω 被 γ 分为两区域 Ω_1, Ω_2 , f 在 Ω_1, Ω_2 上全纯, Ω 上连续
 $\Rightarrow f \in H(\Omega)$

Pf. Morera 定理, 之前证过.

NOTE. 就是某种 past lemm.

cor. $f_1 \in H(D_1) \cap C(D_1 \cup I), f_2 \in H(D_2) \cap C(D_2 \cap I)$

$$f_1|_I = f_2|_I \Rightarrow F = f_1 \cup f_2 \in H(D)$$

定理 (Schwarz 对称定理) D 关于实轴对称, 若 f 满足

(1) $f \in H(D \cap \mathbb{H})$,

(2) $f \in C(D \cap \overline{\mathbb{H}})$,

(3) f 在 $D \cap \partial \mathbb{H}$ 上取实值

$$\Rightarrow F(z) = \begin{cases} f(z) & z \in D \cap \mathbb{H} \\ \overline{f(\bar{z})} & z \in D \cap (\mathbb{H})^c \end{cases}$$

Pf. $F \in H(D \cap \mathbb{H})$ 是显然的

$$\forall z \in D \cap \mathbb{H}^c, \quad \frac{\partial F}{\partial \bar{z}} = \frac{\partial}{\partial \bar{z}} \overline{f(\bar{z})} = \overline{\left(\frac{\partial f}{\partial z}(\bar{z}) \right)} = 0$$

$$z \in \mathbb{H}^c: \lim_{z \rightarrow x_0} F(z) = \lim_{\bar{z} \rightarrow x_0} \overline{f(\bar{z})} = \overline{\lim_{\bar{z} \rightarrow x_0} f(\bar{z})} = \overline{f(x_0)} = f(x_0) = F(x_0). \quad \square$$

定理. (推广的 Schwarz 定理)

设 ① Ω 与 Ω' 关于 $|z-a|=r$ 对称

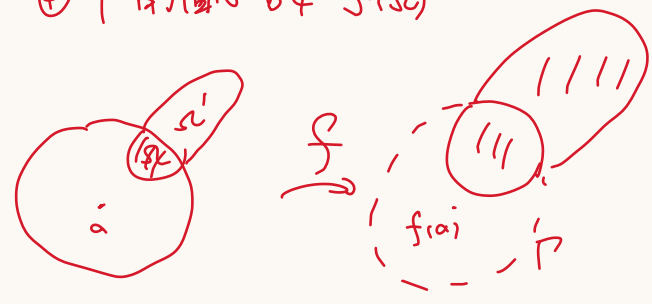
② $f(z)$ 在 Ω 内全纯, 在 $\Omega \cup S$ 上连续

③ $f(S)$ 为一段圆弧

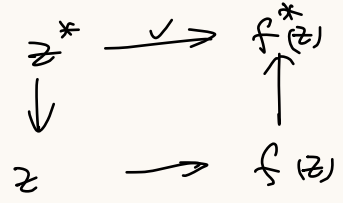
④ Γ 的圆心 $b \notin f(\Omega)$

对称指 $z \in \Omega \Leftrightarrow z^* \in \Omega'$

$$z^* = a + \frac{R^2}{\bar{z} - a}$$



对称开拓的思路



Def. 令
$$F(z) = \begin{cases} f(z) & z \in \Omega \cup S \\ (f(z^*))^* & z \in \Omega' \cup S \end{cases}$$

直接计算
$$(f(z^*))^* = b + \frac{R^2}{\overline{f(z^*)} - \bar{b}} = b + \frac{R^2}{\overline{f(a + \frac{R^2}{\bar{z} - a})} - \bar{b}} \quad (4)$$

$$\frac{\partial F}{\partial \bar{z}} = R^2 \frac{-1}{(\overline{f(a + \frac{R^2}{\bar{z} - a})} - \bar{b})^2} \cdot \frac{\partial}{\partial \bar{z}} \overline{f(z^*)} = \frac{-R^2}{(\overline{f} - \bar{b})^2} \frac{\partial}{\partial \bar{z}} \overline{f(z^*)}$$

$$\frac{\partial F}{\partial \bar{z}}(z^*) = f'(z^*) \cdot \frac{\partial}{\partial \bar{z}} z^* = f'(z^*) \frac{\partial}{\partial \bar{z}} \left(a + \frac{R^2}{\bar{z} - a} \right) = 0$$

(求导时 $z, \bar{z}$ 是无关的, 由 $\frac{\partial}{\partial z}, \frac{\partial}{\partial \bar{z}}$ 的定义决定)

连续性 拆 * 算子即可. 由 Painlevé — □

注意 Γ 是直线也没关系, 因此我们实际得到了 4 种 Schwarz 原理.

幂级数全纯开拓

设 $f(z) = \sum_{n=0}^{\infty} a_n z^n$ 收敛半径为 R . f 在 $D = \{ |z| < R \}$ 上全纯

定义 $(\partial B(0, R) \perp)$

正则点 $\xi_0 \in \partial B$, $\exists B(\xi_0, \delta)$ 上全纯函数 g 在 $B(\xi_0, \delta) \cap D$ 上 $g = f$

奇点 $\xi_0 \in \partial B$, 不是正则点

$$f \in H(D_1) \quad g \in H(D_2) \quad D_1 \cap D_2 \neq \emptyset \quad g|_{D_1 \cap D_2} = f|_{D_1 \cap D_2} \Rightarrow (f, D_1) \sim (g, D_2)$$

定理, 幂级数的收敛圆周上必有奇点

证. 若全部正则, 诱导一个开覆盖. 由 ∂B 的紧性.

设 $\{B(\xi_1, r_1), \dots, B(\xi_n, r_n)\}$ 令 $D = B(0, R) \cup (\bigcup B_i)$

令 $g(z) = \begin{cases} f(z) & z \in B(0, R) \\ f_i(z) & z \in B(\xi_i, r_i) \end{cases}$ 由唯一性定理, g 在 D 上全纯

$\Rightarrow f$ 在 $\bar{B} \setminus B$ 上可展开, 由唯一性 $\Rightarrow f$ 在 $\bar{B}$ 上收敛 $\hookrightarrow \square$

如何判断奇点? $z_0 \in \partial B(0, R)$

① $\lim_{z \rightarrow z_0} |f(z)| = +\infty$ 奇

② $\lim_{z \rightarrow z_0} |f(z)| \neq +\infty$

取 $z' \in \partial B$, z' 处展开 $\rho \geq R - |z'|$

if $\rho > R - |z'|$ 正则

if $\rho = R - |z'|$ 奇点 (因为其他点都不是奇点)

③ f 与 f' 有相同的奇点/正则点

④ f 在 $|z|=R$ 上的收敛/发散与 正则/奇点无必然关系.

例. 1. $\sum_{n=0}^{\infty} z^n$ 在 $z=-1$ 处发散, 但 $z=1$ 正则点 $\frac{1}{1-z}$

2. $\sum_{n=1}^{\infty} (-1)^{n-1} \frac{z^n}{n}$ 在 $z=1$ 处收敛, 但 $z=1$ 正则 $\log z$

3. $\sum_{n=0}^{\infty} z^n$ 在 $z=1$ 处发散, 但 $z=1$ 奇点 $\frac{1}{1-z}$

4. $\sum_{n=2}^{\infty} \frac{z^n}{n(n-1)}$ 在 $z=1$ 处收敛, 但 $z=1$ 奇点 求导

例 $\sum_{n=1}^{\infty} z^{2^n}$ 在 $|z|=1$ 上每点奇点 (technical)

1) $z=1$ 奇点

2) $z^2=1$ 的根 $f(z) = z^2 + f(z^2) \Rightarrow z^2=1$ 的根也是奇点

3) $z^2=1$ 的根

4) 奇点稠密 $\Rightarrow$ 放不下正则点.

例. $f(z) = \sum_{n=0}^{\infty} a_n z^n$ $0 < R < \infty$ 若某项起 $a_n \geq 0$.

$\Rightarrow z=R$ 是奇点

pf. 若 $z=R$ 正则 $\Rightarrow \frac{R}{2}$ 外展开, $\rho > \frac{R}{2}$

$$f(z) = \sum_{n=0}^{\infty} \frac{f^{(n)}(\frac{R}{2})}{n!} (z - \frac{R}{2})^n$$

$$\Rightarrow \limsup_{n \rightarrow \infty} \left(\left| \frac{f^{(n)}(\frac{R}{2})}{n!} \right| \right)^{\frac{1}{n}} = \frac{1}{\rho} < \frac{2}{R}$$

计算 $f^{(m)}(\frac{R}{2})$: $f^{(m)} = a_n \cdot n! + \dots$

$$= \sum_{m \geq n} a_n m(m-1)\dots(m-n+1) \left(\frac{R}{2}\right)^{m-n} \geq 0$$

$$|f^{(m)}(\frac{1}{2} R e^{i\theta})| \leq |f^{(m)}(\frac{R}{2})| \Rightarrow \rho_{\theta} \geq \rho > \frac{R}{2}. \text{ 全部正则! } \hookrightarrow \square$$

黎曼映照

Q: 开集 Ω 何时存在共形映射 $f: \Omega \rightarrow \mathbb{D}$

由 Liouville 定理, $\Omega \neq \mathbb{C}$ (因此此时 f 只能为常数)

定理 (Hurwitz) $f_n \in H(\mathbb{D})$. f_n 内闭一致收敛到 $f(z)$. $f(z) \neq 0$.

若 $\gamma \subset \mathbb{D}$ 不经过 f 的零点, 则 $\exists N > 0$, 当 $n > N$, f_n 与 f 在 γ 内的零点个数相同

设 $\varepsilon = \min \{|f(z)| : z \in \gamma\} > 0$ $\gamma \subset \subset \mathbb{D}$

$\Rightarrow \exists N, |f_n - f| < \varepsilon$ if $n > N$

$\Rightarrow |f_n - f| < \varepsilon \leq |f|$ $\square$

因为我们最后想要做的结论是全纯等价的, 我们至少需要处理单叶函数

定理. ① f_n 在 $\mathbb{D}$ 中全纯单叶.

② f_n 内闭一致收敛到 f . $f \neq \text{const}$

则 f 也是全纯单叶

pf. f 当然全纯 (Weierstrass) 反证. 有 $z_1 \neq z_2, f(z_1) = f(z_2)$ $z_1, z_2 \in \mathbb{D}$

令 $F(z) = f(z) - f(z_1)$ z_1, z_2 是孤立的

设 $\varepsilon > 0$. $B(z_1, \varepsilon) \cap B(z_2, \varepsilon) = \emptyset$ 且 F 在 $B(z_1, \varepsilon), B(z_2, \varepsilon)$ 上无其他零点

令 $F_n = f_n - f(z_1) \xrightarrow{\text{内闭}} F$ 由 Hurwitz, 造出 z_1', z_2' 两零点 $\square$

我们会处理到一族具有某性质的函数, 为此引入概念.

定义. $\mathcal{F}$ 为区域 $\mathbb{D}$ 上的函数族.

① $K \subset \subset \mathbb{D}$, $\forall f \in \mathcal{F}, \exists M = M(K) > 0, |f| \leq M$ on K

则称 $\mathcal{F}$ 内闭一致有界

② $\forall \{f_n\} \subseteq \mathcal{F}$, $\{f_n\}$ 有 $\mathbb{D}$ 上内闭一致收敛子列, 则称 $\mathcal{F}$ 是

$\mathbb{D}$ 上的一个正规族

接下来用完整版的 AA 定理证明 Montel 定理.

定理 (AA) $(X, \mathcal{T})$, (Y, d) , $\mathcal{T} \subseteq \mathcal{C}(X, Y)$, $\mathcal{T}_{c.c.}$

(1) if $\mathcal{T}$ 等度连续, 逐点预紧 $\Rightarrow \mathcal{T}$ 在 $(\mathcal{C}(X, Y), \mathcal{T}_{c.c.})$ 中的闭包紧

(2) if X is LCH. 逆命题对.

定理 (Montel) $\mathcal{F}$ 是 D 上的全纯函数族, 那么 $\mathcal{F}$ 正规族 $\Leftrightarrow \mathcal{F}$ 在 D 上内闭一致有界

Pf. $(\Rightarrow)$ 这个容易, 因为若不然, $\exists K \sup\{|f| : z \in K\} = \infty$

$\Rightarrow \{f_n\} \sup\{|f_n|, z \in K\} \geq n \quad f_n \not\rightarrow f \text{ on } K$

f on K 有界 $\downarrow$

$(\Leftarrow)$ D 的拓扑性质够好. LCH + σ -cpt $\{f_n\}$ 在 $K \subset\subset D$ 上有界 M_K

$$|f_n(z_1) - f_n(z_2)| = \left| \frac{1}{2\pi i} \int_{\gamma} \left(\frac{f_n(\zeta)}{\zeta - z_1} - \frac{f_n(\zeta)}{\zeta - z_2} \right) d\zeta \right|$$

$$= \frac{|z_1 - z_2|}{2\pi} \left| \int_{\gamma} \frac{f_n(\zeta) d\zeta}{\zeta(\zeta - z_1)(\zeta - z_2)} \right|$$

$$\leq \frac{M \cdot 2\pi r |z_1 - z_2|}{r^2} \leq \frac{C}{r} |z_1 - z_2|$$



只要让 $\forall z \in K, B(z, 3r) \subset D$ 即可

那么用 AA + 对同线 Argument 即可 $\square$

定理 (Riemann映照定理) 设 $\Omega \subsetneq \mathbb{C}$, 且单连通, 则 Ω 与 D 全纯同构. i.e.

$\forall z_0 \in \Omega \exists!$ 共形映射 $f: \Omega \rightarrow D$ s.t. $f(z_0) = 0, f'(z_0) > 0$

Pf. Idea: ① $\mathcal{F} = \{f \in H(\Omega) : f: \Omega \rightarrow D, f(z_0) = 0, f \text{ 单叶}\}$ $\mathcal{F}$ 非空, 一致有界

② $\exists \tilde{f} \in \mathcal{F}$ $|f'(z_0)|$ 达到最大. 这是我们要的

1. Ω 单连通 $\Omega \subsetneq \mathbb{C}$, $\exists G \subset D, 0 \in G, G \sim \Omega \quad z_0 \mapsto 0$

设 $\alpha \in \mathbb{C}, \alpha \notin \Omega$

令 $g(z) = \log(z - \alpha)$, g 在 Ω 上有单值分支



① g 单叶. 因 $g(z_1) = g(z_2) \Rightarrow e^{g(z_1)} = e^{g(z_2)} \Rightarrow z_1 - \alpha = z_2 - \alpha$

② $w_0 = g(z_0)$ 若 $\tilde{w}_0 = w_0 + 2\pi i \in g(\Omega)$

$\Rightarrow g(\tilde{z}_0) = w_0 + 2\pi i = e^{g(\tilde{z}_0)} = e^{g(z_0)} \Rightarrow \tilde{z}_0 = z_0 \quad \checkmark$

③ $g(z)$ 与 $\tilde{\omega}$ 是“严格分离”的. 否则 $g(z_n) \rightarrow \omega_0 + 2\pi i$
 $z_n - \alpha = e^{g(z_n)} \rightarrow e^{\tilde{\omega}_0} = e^{\omega_0} = z_0 - \alpha \Rightarrow z_n \rightarrow z_0$ 但 $g(z_n) \rightarrow g(z_0) = \omega_0 \neq \tilde{\omega}_0$
 因此有 $g(\Omega) \cap B(\tilde{\omega}_0, \delta) = \emptyset$

④ 令 $f(z) = \frac{1}{g(z) - \tilde{\omega}_0}$ 良定 on Ω 在 Ω 上有界

令 $\tilde{f}(z) = f(z) - f(z_0) \Rightarrow \tilde{f}$ 有界 则 $\tilde{f}(z_0) = 0$
 $|\tilde{f}| \leq c$

令 $h(z) = \frac{1}{2c} \tilde{f}$ 即可

2.0 因此可设 $\Omega \subseteq \mathbb{D}$ 现在可非空 (Id) 且一致有界 (D)

$0 \in \Omega \Rightarrow \exists \varepsilon > 0, B(0, \varepsilon) \subseteq \Omega$

$|f'(0)| \leq \frac{1}{\varepsilon} \quad \forall f \in \mathcal{F}$
 Cauchy

令 $\sup\{|f'(0)|, f \in \mathcal{F}\} = A$. $\exists \{f_n\} \subseteq \mathcal{F}, f_n'(0) \rightarrow A$

由 Montel, 有 $\{f_{n_k}\}$ 内闭一致收敛到 f_∞ 由 Hurwitz 推论

f_∞ 全纯单叶 ($|f_\infty'(0)| > 0, f_\infty(0) = 0$)

且 $|f_\infty(z)| < 1 \quad \forall z \in \Omega$ (最大模)

$\Rightarrow f_\infty \in \mathcal{F}$

② $f_\infty(G) = \mathbb{D}$, 若 not, $f_\infty(G) \neq \mathbb{D}$

$\Rightarrow \exists \alpha \in \mathbb{D} \setminus f_\infty(G)$

令 $\varphi_\alpha(z) = \frac{\alpha - z}{1 - \bar{\alpha}z} \quad \mathbb{D} \rightarrow \mathbb{D}$

$\Rightarrow \textcircled{1} \varphi_\alpha \circ f_\infty$ 单连通 (全纯同构)

$\textcircled{2} \varphi_\alpha \circ f_\infty$ 不含 0

$\Rightarrow R(z) = (\varphi_\alpha \circ f_\infty)^{\frac{1}{2}}$ on G 有单值分枝, 单叶

令 $\beta = \sqrt{\alpha}, \quad \gamma_\beta = \frac{\beta - z}{1 - \bar{\beta}z} \quad \mathbb{D} \rightarrow \mathbb{D}, \quad \beta \rightarrow 0$

$G \xrightarrow{R} \mathbb{D} \xrightarrow{\gamma_\beta} \mathbb{D}$
 $0 \rightarrow \beta \rightarrow 0$

$F = \underbrace{\gamma_\beta \circ S \circ \gamma_\alpha \circ f_\infty}_{\tau^{-1}}$

$S = \sqrt{\omega}$

$$\Rightarrow f_\infty = T \circ F \quad T(0)=0$$

~~不是单射~~ on $\mathbb{D} \Rightarrow T$ 不是 $\mathbb{D}$ 上旋转 $\Rightarrow |T'(0)| < 1$

$$\Rightarrow |f'_\infty(0)| \leq |T'(0)| |F'(0)| < |F'(0)| \text{ 矛盾!}$$

最后加个旋转即可 $f'(0) > 0$

③ 一般地 若 f, g 都是满足的映射

$$\text{令 } h = f \circ g^{-1} \quad h(B(0,1)) = B(0,1) \Rightarrow h \in \text{Aut}(\mathbb{D})$$

$$\text{又 } h(0)=0 \Rightarrow h(z) = e^{i\theta} z \quad h'(0) = \frac{f'(z_0)}{g'(z_0)} > 0$$

$$\text{而 } h(z) = e^{i\theta} z \Rightarrow \theta = 0 \Rightarrow f = g \text{ on } \mathbb{D} \Rightarrow f = g \quad \square$$

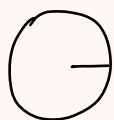
NOTE. 单连通不能被连续映射保持. 但我们用的是双全纯

边界对应

定理. G 是简单闭曲线 Γ 所围成的区域. 若 $\omega = f(z)$ 把 G 双全纯地映为 $B(0,1)$, 那么 f 的定义可以扩充到 Γ 上, 使 $f \in C(\bar{G})$, 且 f 把 Γ 一一地映成 $|w|=1$. Γ 关于 G 的定向对应于 $\bar{S}(\Gamma)$ 关于 $B(0,1)$ 的正向



这时边界为



不能想当然定义中间的部分

pf. 1. 良定 $f|_{\bar{G}}$ 先证 $\forall \zeta \in \partial G, f(\zeta) := \lim_{\substack{z \rightarrow \zeta \\ z \in G}} f(z)$ 存在

设 $\{z_n\}, \{z'_n\} \rightarrow \zeta$

$$\lim_{n \rightarrow \infty} f(z_n) = a, \quad \lim_{n \rightarrow \infty} f(z'_n) = b \quad \left(\text{if } a \neq b \right). \quad \text{denote } w_n = f(z_n)$$

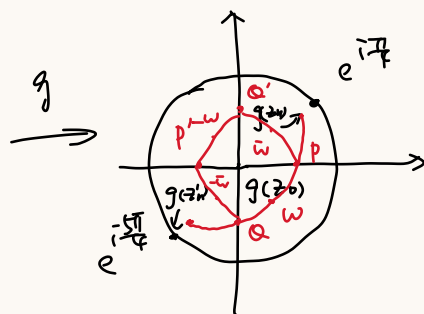
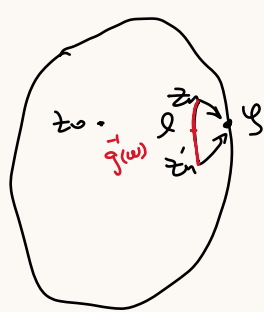
首先 $a, b \in \partial \mathbb{D}$

$$\zeta = \lim_{n \rightarrow \infty} f^{-1}(w_n) = \lim_{w_n \rightarrow a} f^{-1}(w_n) = f^{-1}(a) \in G \quad \nabla$$

$$\text{取 } T \in \text{Aut}(\mathbb{D}) \text{ s.t. } T(a) = e^{i\frac{\pi}{2}} \quad T(b) = e^{i\frac{3\pi}{2}}$$

$$g = T \circ f$$

$$g(z_0) = 0$$



适当分离有 L 连 z_n, z_n'

$$L = g(L)$$

控制 L 与 y 的距离, 先设

$$L \in B(y, \delta) \cap G, \quad \delta > 0$$

「将红线在左端收为一点, 右端的对径点迫使红线的像收缩至 0, 需要用 F 刻画这一收缩过程」

$$\text{令 } F(w) = (g^{-1}(w) - y)(\overline{g^{-1}(\bar{w}) - y})(g^{-1}(-w) - y)(\overline{g^{-1}(-\bar{w}) - y})$$

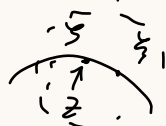
$$M = \sup_{z \in \partial G} |y - z|$$

$$\Rightarrow |F(w)| \leq \delta M^3 \xrightarrow{\text{最大模}} |F(0)| \leq \delta M^3$$

$$\Rightarrow |g^{-1}(0) - y|^4 \leq \delta M^3 \quad \delta \rightarrow 0 \Rightarrow g^{-1}(0) = y$$

那么定义 $y \in \partial G, f(y) = \lim_{\substack{z \rightarrow y \\ z \in G}} f(z)$, 用三角不等式可证

$$\lim_{\substack{\xi \rightarrow y \\ \xi \in G}} f(\xi) = f(y)$$



$$\forall \varepsilon > 0, \exists \delta > 0, |f(z) - f(y)| < \varepsilon/2 \text{ if } |z - y| < \delta$$

$$\xi \in B(y, \delta) \cap \partial G, \quad \delta_1 > 0, |f(\xi) - f(z)| < \varepsilon/2, \text{ if } |\xi - z| < \delta_1$$

$$\text{两圆相交} \Rightarrow |f(y) - f(y)| < \varepsilon$$

2. 证明—— 现在 $f|_{\partial G} : \partial G \hookrightarrow \partial D$.

1) 单叶 若 $f(y) = f(y')$, 有 $B(y, \varepsilon) \cap B(y', \varepsilon) = \emptyset$

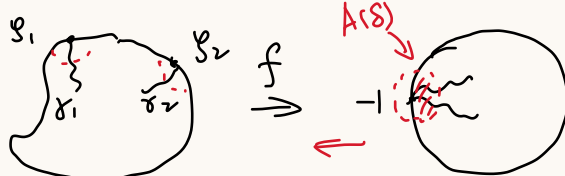
$$f \text{ 在 } G \text{ 上单叶} \Rightarrow f(B(y, \varepsilon) \cap G) \cap f(B(y', \varepsilon) \cap G) = \emptyset$$

$$\Rightarrow f(y) \neq f(y')$$

$$\text{满 } f(G) = \overline{f(G)} \supseteq \overline{f(G)} = \overline{D}$$

$$\Rightarrow f \text{ 同胚 (} f^{-1} \text{ 连续)}$$

「单叶另证, 计算办法, 若 $y_1, y_2 \in \partial G, f(y_1) = f(y_2) = -1$



设曲线 $\gamma_1, \gamma_2, \gamma_1(1) = y_1, \gamma_2(1) = y_2$

$$\exists c \in [0, 1] \text{ s.t. } |\gamma_1(c) - \gamma_2(c)| \geq \frac{1}{2} |y_1 - y_2|$$

$$\forall t_1 \in [0, 1]$$

感觉不太好

虽然中间有点不知去向

NOTE. 这两条曲线的构造: $z_n \rightarrow \gamma_1, \gamma_2$ 经过 $z_n, \dots \gamma_i(x) \in G \quad x \in [0, 1]$

$$\Rightarrow f \circ \gamma([0, c]) \text{ 紧} \quad \exists \delta > 0 \quad s.t. \quad (B(-1, \delta) \cap B(0, 1)) \cap (\gamma_1([0, c]) \cup \gamma_2([0, c])) = \emptyset$$

记相交部分为 $A(\delta)$. $A(\delta)$ 中的点以极坐标表示为 $0 < r < \delta$.

$$-\varphi(r) < \varphi(r). \quad \varphi(r) = \arccos \frac{r}{2} \leq \frac{\pi}{2}$$

$$\text{Area} \left(f^{-1}(A(\delta)) \right) = \iint_{A(\delta)} |(f^{-1})'(\omega)|^2 d\omega = \int_0^\delta \int_{-\varphi(r)}^{\varphi(r)} |(f^{-1})'(-1+re^{i\theta})|^2 r d\theta dr$$

估计这个积分

$\forall r \in (0, \delta)$. γ_1, γ_2 与 $|w+1|=r$ 交于 α_1, α_2

$$\Rightarrow \frac{1}{2} |\gamma_1 - \gamma_2| \leq |f^{-1}(\alpha_1) - f^{-1}(\alpha_2)| \leq \int_{-\varphi(r)}^{\varphi(r)} |(f^{-1})'(\omega)| d\omega \leq \int_{-\varphi(r)}^{\varphi(r)} |(f^{-1})'(\omega)| d\omega$$

$$\begin{aligned} \text{Cauchy} \Rightarrow \frac{1}{4} |\gamma_1 - \gamma_2|^2 &\leq \left(\int_{-\varphi(r)}^{\varphi(r)} |(f^{-1})'(\omega)| d\omega \right)^2 \leq \left(\int_{-\varphi(r)}^{\varphi(r)} |(f^{-1})'(-1+re^{i\theta})|^2 r^2 d\theta \right) \int_{-\varphi(r)}^{\varphi(r)} 1 d\theta \\ &\leq I \cdot \pi r \end{aligned}$$

$$\Rightarrow I \geq \frac{|\gamma_1 - \gamma_2|^2}{4\pi r} \quad \text{两边从 0 到 } \delta \text{ 积分导出矛盾!}$$

Appendix:

$$\text{Area}(f(u)) = \iint_u |f'(z)|^2 dx dy$$

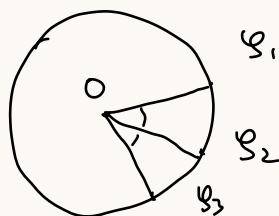
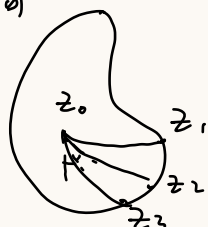
$$f: (x, y) \mapsto (u, v)$$

$$\text{Area}(f(u)) = \iint_{f(u)} du dv = \iint \left| \frac{\partial(u, v)}{\partial(x, y)} \right| dx dy$$

$$\frac{\partial(u, v)}{\partial(x, y)} = \begin{vmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{vmatrix} = \frac{\partial u}{\partial x} \frac{\partial v}{\partial y} - \frac{\partial u}{\partial y} \frac{\partial v}{\partial x} \stackrel{C-R}{=} \left(\frac{\partial u}{\partial x} \right)^2 + \left(\frac{\partial u}{\partial y} \right)^2$$

$$\begin{aligned} \left| \frac{\partial f}{\partial z} \right|^2 &= \left| \frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) (u + iv) \right|^2 = \frac{1}{4} \left| \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) + i \left(\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right) \right|^2 \\ &= \left| \frac{\partial u}{\partial x} - i \frac{\partial u}{\partial y} \right|^2 = \frac{\partial(u, v)}{\partial(x, y)} \end{aligned}$$

3. 保定向



保角

□

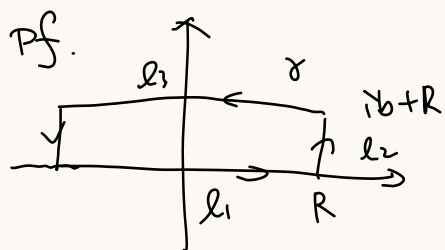
Fourier Transform

$$\mathcal{F} = \{ f : f \text{ is holomorphic on } |\operatorname{Im} z| < a, |f(x+iy)| \leq \frac{A}{1+x^2} \}$$

$$\mathcal{F} = \bigcup_{a>0} \mathcal{F}_a$$

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx \quad \xi \in \mathbb{R}$$

Thm. if $f \in \mathcal{F}_a$, $a>0$, then $|\hat{f}(\xi)| \leq B e^{-2\pi b|\xi|}$ for $\forall b \in [0, a)$



$$\int_{\gamma} f(z) e^{-2\pi i z \xi} dz = 0$$

$$\int_{l_1}^* = \int_{-R}^R f(x) e^{-2\pi i x \xi} dx$$

$$\int_{l_2}^* = \int_0^b f(R+iy) e^{-2\pi i (R+iy)\xi} i dy$$

$$|\int_{l_2}^*| \leq \int_0^b \frac{A}{1+R^2} e^{2\pi y \xi} dy = \frac{A}{1+R^2} \cdot \frac{e^{2\pi b} - 1}{2\pi \xi} \rightarrow 0 \text{ as } R \rightarrow \infty$$

$$\int_{l_3}^* = \int_R^{\infty} f(x+ib) e^{-2\pi i (x+ib)\xi} dx \quad (*) \text{ appears later}$$

$$\Rightarrow \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx = e^{2\pi b \xi} \int_{-\infty}^{\infty} f(x+ib) e^{-2\pi i x \xi} dx$$

$$\leq e^{2\pi b \xi} \cdot \int_{-\infty}^{\infty} \frac{A}{1+x^2} dx = B e^{-2\pi b|\xi|}, \quad (\xi < 0)$$

if we shift the real line down by $-b$... then we can get the case $\xi > 0$. $\square$

Thm. if $f \in \mathcal{F}$ then $f(x) = \int_{-\infty}^{\infty} \hat{f}(\xi) e^{2\pi i x \xi} d\xi \quad x \in \mathbb{R}$.

Pf. $\hat{f}(\xi) = \int_{-\infty}^{\infty} f(u-ib) e^{-2\pi i (u-ib)\xi} du \quad (\xi > 0)$

$$\int_{-\infty}^{\infty} \hat{f}(\xi) e^{2\pi i x \xi} d\xi = \underbrace{\int_0^{+\infty} \hat{f}(\xi) e^{2\pi i x \xi} d\xi}_{I_1} + \int_{-\infty}^0 \hat{f}(\xi) e^{2\pi i x \xi} d\xi$$

$$I_1 = \int_0^{+\infty} \int_{-\infty}^{\infty} f(u-ib) e^{-2\pi i (u-ib)\xi} e^{2\pi i x \xi} du d\xi \quad (\text{obviously converge})$$

$$\stackrel{\text{Fubini}}{=} \int_{-\infty}^{\infty} f(u-ib) du \int_0^{+\infty} e^{-2\pi i(u-ib-x)\zeta} d\zeta$$

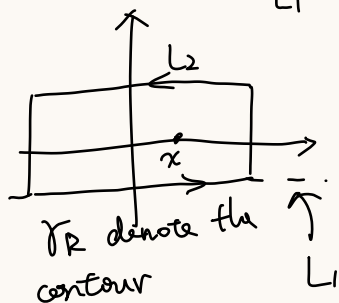
NOTE. $\underbrace{\int_0^{+\infty} e^{-2\pi i(u-ib-x)\zeta} d\zeta}_{\text{converge}} = \lim_{R \rightarrow \infty} \int_0^R e^{-2\pi i(u-ib-x)\zeta} d\zeta$

$$= \lim_{R \rightarrow \infty} \frac{e^{-2\pi i(u-ib-x)R} - 1}{-2\pi i(u-x-ib)} = \frac{1}{2\pi i(u-x-ib)}$$

$$\Rightarrow I_1 = \int_{-\infty}^{\infty} \frac{f(u-ib)}{2\pi i(u-x-ib)} du = \frac{1}{2\pi i} \int_{-\infty}^{\infty} \frac{f(u-ib)}{u-ib-x} du$$

$$= \frac{1}{2\pi i} \int_{L_1} \frac{f(\zeta)}{\zeta-x} d\zeta$$

for $\zeta < 0$, $\int_{-\infty}^0 \hat{f}(\zeta) e^{2\pi i x \zeta} d\zeta = \frac{1}{2\pi i} \int_{L_2} \frac{f(\zeta)}{\zeta-x} d\zeta$



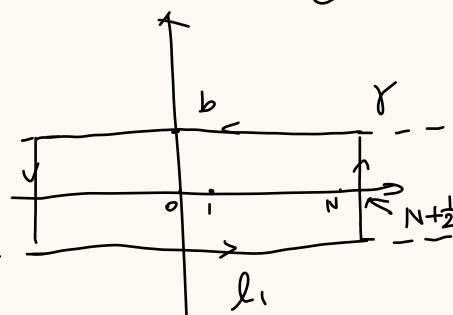
$$f(x) = \frac{1}{2\pi i} \int_{\gamma_R} \frac{f(\zeta)}{\zeta-x} d\zeta = \int_{L_1} + \int_{L_2} + \dots$$

as $R \rightarrow \infty$, the integral over the vertical sides goes to 0. $\square$

我们唯一条件 (相比于 moderate decrease) 就是 R "附近" (一致的 sense) 的解析条件, 由于 f 的光滑性 $\Rightarrow f$ 的衰减速率, 可以预见 f 的衰减速率.

Thm. if $f \in \mathcal{S}$, then $\sum_{n \in \mathbb{Z}} f(n) = \sum_{n \in \mathbb{Z}} \hat{f}(n)$

pf consider $\frac{f(z)}{e^{2\pi i z} - 1}$ $\text{Res}\left(\frac{f(z)}{e^{2\pi i z} - 1}, n\right) = \frac{f(n)}{2\pi i}$



$$\sum_{n \in \mathbb{N}} \frac{f(n)}{2\pi i} = \sum_{n \in \mathbb{N}} \text{Res}\left(\frac{f(z)}{e^{2\pi i z} - 1}, n\right) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{e^{2\pi i z} - 1} dz$$

on the vertical sides $|e^{2\pi i z} - 1| \geq |e^{2\pi i(N+\frac{1}{2})} - 1| \rightarrow \infty$
so the integral goes to 0 as $N \rightarrow \infty$

$$\int_{L_1 \cup L_3} * = \int_{-\infty}^{+\infty} \frac{f(x-ib)}{e^{\frac{2\pi i(x-ib)}{1}} - 1} dx - \int_{-\infty}^{+\infty} \frac{f(x+ib)}{e^{\frac{2\pi i(x+ib)}{1}} - 1} dx$$

since $e^{2\pi b} > 1$

$$\Rightarrow \frac{1}{e^{2\pi i z} - 1} = \frac{1}{e^{2\pi i z}} \sum_{n=0}^{\infty} (e^{-2\pi i z})^n$$

$$\Rightarrow \int_{\ell_1} * = \int_{\ell_1} f(z) \sum_{n=0}^{\infty} e^{-2\pi i (n+1)z} dz \stackrel{\text{order}}{=} \sum_{n=0}^{\infty} \int_{\ell_1} f(z) e^{-2\pi i (n+1)z} dz$$

$$= \sum_{n=1}^{\infty} \hat{f}(n)$$

$$\int_{\ell_3} * = \int_{\ell_3} f(z) \sum_{n=0}^{\infty} (e^{2\pi i z})^n dz = \sum_{n=-\infty}^0 \hat{f}(n)$$

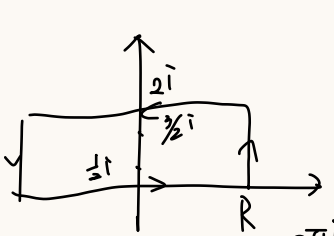
$$\Rightarrow \sum_{\mathbb{Z}} f(n) = \sum_{\mathbb{Z}} \hat{f}(n) \quad \square$$

Calculate two integrals

$$1. \int_{-\infty}^{\infty} e^{-\pi x^2} e^{-2\pi i x \xi} dx = e^{-\pi \xi^2} \quad (\text{poisson formula})$$

$$2. \int_{-\infty}^{\infty} \frac{e^{-2\pi i x \xi}}{\cosh \pi x} dx = \int_{-\infty}^{\infty} \frac{2 e^{-2\pi i x \xi}}{e^{\pi x} + e^{-\pi x}} dx$$

$$e^{-\pi z} + e^{\pi z} = 0 \Rightarrow e^{2\pi z} + 1 = 0 \quad 2z = (2k+1)i \quad z = (k+\frac{1}{2})i$$



$$\left| \int_0^{1/2} \frac{e^{-2\pi i (R+iy)\xi}}{e^{-\pi(R+iy)} + e^{\pi(R+iy)}} i dy \right| \leq \int_0^{1/2} \frac{e^{2\pi y \xi}}{e^{\pi R} - e^{-\pi R}} dy \rightarrow 0 \text{ as } R \rightarrow \infty$$

$$\Rightarrow \int_{-\infty}^{\infty} \frac{e^{-2\pi i x \xi}}{\cosh \pi x} dx - \int_{-\infty}^{\infty} \frac{e^{-2\pi i (x+2i)\xi}}{e^{-\pi(x+2i)} + e^{\pi(x+2i)}} dx = 2\pi i \left(\text{Res}\left(\frac{1}{2}i\right) + \text{Res}\left(\frac{3}{2}i\right) \right)$$

$$(1 - e^{4\pi \xi}) I = 2\pi i \left(\frac{e^{\pi \xi}}{\pi i} - \frac{e^{3\pi \xi}}{\pi i} \right)$$

$$\Rightarrow I = \frac{2(e^{\pi \xi} - e^{3\pi \xi})}{1 - e^{4\pi \xi}} = \frac{2e^{\pi \xi}}{1 + e^{2\pi \xi}} = \frac{1}{\cosh \pi \xi} \quad \checkmark$$

Paley-Wiener

lem. $|\hat{f}(\xi)| \leq A e^{-2\pi a |\xi|}$, $A, a > 0 \Rightarrow f$ can be extended on

$S_b = \{z : |\text{Im } z| < b\}$ and the extension on S_b is holomorphic. $\forall b \in (0, a)$

pf. since $|\hat{f}(\xi)| \leq A e^{-2\pi a |\xi|} \Rightarrow f(x) = \int_{-\infty}^{\infty} \hat{f}(\xi) e^{2\pi i x \xi} d\xi$

$$\text{define } \underbrace{f_n(z)}_{\text{holomorphic}} = \int_{-n}^n \hat{f}(\xi) e^{2\pi i z \xi} d\xi \xrightarrow{\text{q.e.}} f(z) := \underbrace{\int_{-\infty}^{\infty} \hat{f}(\xi) e^{2\pi i z \xi} d\xi}_{\text{converge}}$$

So f is holomorphic □

Thm. f is ces and $|f(x)| \leq \frac{A}{1+x^2}$ on $\mathbb{R}$, then f has an extension to $\mathbb{C}$ there is entire with $|f(z)| \leq A e^{2\pi M|z|}$ for some $A > 0$ if and only if $\hat{f}$ is supported on $[-M, M]$

Pf. if $\hat{f}$ is ~~not~~ sup. let $a \rightarrow 0$ in lem " $\Leftarrow$ " ✓

($\Rightarrow$) 1. first we assume f has stronger bound.

$$|f(x+iy)| \leq A' \frac{e^{2\pi M|y|}}{1+x^2}, \text{ we plan to prove } \hat{f}(\xi) = 0 \text{ } (\xi > M)$$

$$\text{when } \xi > M, \quad \hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx \stackrel{\text{contour}}{=} \int_{-\infty}^{\infty} f(x-iy) e^{-2\pi i (x-iy)\xi} dx$$

$$\Rightarrow |\hat{f}(\xi)| \leq \int_{-\infty}^{\infty} \frac{A' e^{2\pi M|y|}}{1+x^2} \cdot e^{-2\pi y \xi} dx \sim e^{-2\pi y(\xi-M)} \quad (y > 0)$$

$$\hat{f}(\xi) = 0 \text{ as } y \rightarrow \infty$$

$$2. |f(x+iy)| \leq e^{2\pi M|y|}$$

$$\xi > M, \quad \hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx = \int_{-\infty}^{\infty} f(x-iy) e^{-2\pi i (x-iy)\xi} dx$$

$$|\hat{f}(\xi)| \leq \int_{-\infty}^{\infty} e^{2\pi(\xi-M)|y|} dx \quad \text{we can deal with it as this}$$

i.e. we need an assistant part to make the integral at least convergent

$$\text{let } f_{\varepsilon}(z) = \frac{f(z)}{(1+\varepsilon z)^2} \quad (\text{Im } z \leq 0, \text{ since we gonna use } \dots)$$

$$|f_{\varepsilon}(z)| \leq \frac{A e^{2\pi M|y|}}{|1-\varepsilon y + i\varepsilon x|^2} \leq \frac{A e^{2\pi M|y|}}{\varepsilon^2(1+x^2)} \Rightarrow \hat{f}_{\varepsilon}(\xi) > 0 \text{ as } \xi > M$$

$$\text{and } |\hat{f}_{\varepsilon}(\xi) - \hat{f}(\xi)| \leq \int_{-\infty}^{\infty} |f(x)| \left| \frac{1}{(1+i\varepsilon x)^2} - 1 \right| dx \quad \text{using DCT } \dots$$

3. $|f(x)| \leq 1$. $|f(z)| \leq e^{2\pi M|z|}$

We prove Phragmén & Lindelöf Thm to use the inequalities above

$$S = \{z : \arg z \in (-\frac{\pi}{4}, \frac{\pi}{4})\}, |f(z)| \leq 1 \text{ on } \partial S. |f(z)| \leq A e^{c|z|} \text{ on } S$$

$$\Rightarrow |f(z)| \leq 1 \text{ on } S.$$

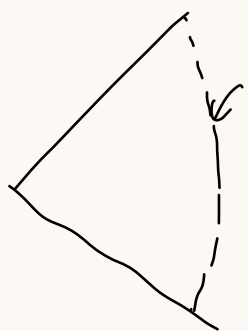
Pf. "notice that e^{z^2} is a func not satisfies the conclusion since its increase speed is too high."

$$\text{let } F(z) = f(z) e^{-\varepsilon z^{\frac{3}{2}}} \quad \text{there } z^{\frac{3}{2}} = e^{\frac{3}{2} \log z} = (z) e^{\frac{3}{2} i\theta} \quad \theta = \arg z$$

$$\Rightarrow |e^{-\varepsilon z^{\frac{3}{2}}}| = e^{-\varepsilon |z|^{\frac{3}{2}} \cos(\frac{3}{2}\theta)} \quad |F(z)| \leq A e^{c|z| - \varepsilon |z|^{\frac{3}{2}} \cos(\frac{3}{2}\theta)} \rightarrow 0$$

$$-\frac{\pi}{2} < \frac{3}{2}\theta < \frac{3\pi}{8} < \frac{\pi}{2}$$

$\Rightarrow$ when $|z|$ is large enough ($|z| \geq R$, $F(z)$)



$$|F(z)| \leq 1$$

$$\Rightarrow |F(z)| \leq 1 \text{ on } S$$

$$\Rightarrow |f(z)| \leq e^{\varepsilon |z|^{\frac{3}{2}} \cos(\frac{3}{2}\theta)} \quad \varepsilon \rightarrow 0$$

$$\Rightarrow |f(z)| \leq 1 \text{ on } S$$

□

so, using this thm. let $g(z) = f(z) e^{-2\pi M y}$ for $y > 0$

$$\Rightarrow |g(z)| \leq 1 \text{ on } x \geq 0 \text{ or } y = 0$$

$$|g(z)| \leq e^{2\pi M(R-y)} \leq e^{2\pi M|z|}$$

$$\Rightarrow |g(z)| \leq 1 \text{ on } x > 0, y > 0 \Rightarrow |f(z)| \leq e^{2\pi M y}$$

$$\text{in total. } |f(z)| \leq e^{2\pi M|y|} \quad \dots \quad \square$$

Entire funcs WLOG. the func in this chapter satisfies $f \neq 0$.

Thm. $\overline{D_R} \subseteq \Omega$. $f \in H(\Omega)$, $f(0) \neq 0$. $f(z) \neq 0$ for $z \in C_R$, $z_1, \dots, z_N$ denote the zeros of f inside D_R (counted with multiplicities), then

$$\log |f(0)| = \sum_{k=1}^N \log \left(\frac{|z_k|}{R} \right) + \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta \quad (*)$$

Idea. We have known $\log |f|$ is harmonic, then the formula above is mean value formula

if $f = z - z_k$. $z_k \in D_R \setminus \{0\}$

$$\frac{1}{2\pi} \int_0^{2\pi} \left(\log |f(Re^{i\theta})| - \log |f(0)| \right) d\theta = \frac{1}{2\pi} \int_0^{2\pi} \left(\log |R| + \log |e^{i\theta} - \frac{z_k}{R}| - \log |z_k| \right) d\theta$$

so we need to prove $\frac{1}{2\pi} \int_0^{2\pi} \log |e^{i\theta} - \frac{z_k}{R}| d\theta = 0$

Pf. ① if f_1, f_2 satisfy Jensen formula $\Rightarrow f_1 f_2$ does so.

② $\forall f$. suppose $z_1, \dots, z_N$ are all the zeroes of f (they may denote many the same points)

$$\Rightarrow g(z) := \frac{f(z)}{(z-z_1) \cdots (z-z_N)} \quad \text{it's a holomorphic func (each } z_j \text{ is removable)}$$

hence we write $f(z) = g(z) \prod_{i=1}^N (z-z_i)$, $g(z)$ vanishes nowhere on D_R

Obviously, g satisfies (*)

Now prove $\frac{1}{2\pi} \int_0^{2\pi} \log |e^{i\theta} - a| d\theta = 0$ for $\forall |a| < 1$

$$\text{LHS} = \frac{1}{2\pi} \int_0^{2\pi} \log |1 - ae^{-i\theta}| d\theta$$

$1 - az$ is holomorphic on $\overline{B(0,1)}$ and nowhere vanishing. $\square$

let $n(r)$ denote the number of zeroes of f (counted with multiplicities)

lem if f satisfies the condition of last theorem, then

$$\int_0^R n(r) \frac{dr}{r} = \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)|.$$

pf. what we need to prove is the formula below.

$$\int_0^R n(r) \frac{dr}{r} = \sum_{k=1}^N \log \left| \frac{R}{z_k} \right|.$$

consider characteristic func $\eta_k(r) = \begin{cases} 0 & r \leq |z_k| \\ 1 & r > |z_k| \end{cases}$

$$\Rightarrow n(r) = \sum_{k=1}^N \eta_k(r)$$

$$\Rightarrow \int_0^R n(r) \frac{dr}{r} = \int_0^R \sum_{k=1}^N \eta_k(r) dr = \sum_{k=1}^N \int_{|z_k|}^R \frac{dr}{r} = \sum_{k=1}^N \log \left| \frac{R}{z_k} \right| \quad \square$$

How does a function behave at ∞ ?

let f be an entire function, if there exists $p > 0$. A, B constant, s.t.

$$|f(z)| \leq A e^{B|z|^p} \text{ for all } z \in \mathbb{C}$$

then we say f has an order of growth $\leq p$, define the order of growth of f as $\rho_f = \inf p$.

Thm $\rho_f \leq p$

(i) $n(r) \leq Cr^p$ for some $C > 0$. $\forall r$ large sufficiently

(ii) if $z_1, \dots$ denote zeros of f with $z_k \neq 0$ then all s.t.

$$\sum_{k=1}^{\infty} \frac{1}{|z_k|^p} < \infty$$

$$\text{pf. (i) } \log |f| \leq \log A + B|z|^p \lesssim |z|^p$$

NOTE the $F(z) := \frac{f(z)}{z^l}$ ($l = \text{order of } 0 \text{ if } f(0) = 0$)

then $n_F(z)$ & $n_f(z)$ differ by a constant

hence, wlog. $f(0) \neq 0$.

$$\int_0^R n(x) \frac{dx}{x} = \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)|$$

$$\Rightarrow \int_r^{2r} n(x) \frac{dx}{x} \leq \frac{1}{2\pi} \int_0^{2\pi} \log |f(2re^{i\theta})| d\theta - \log |f(0)|$$

$$\int_r^{2r} n(x) \frac{dx}{x} \geq n(r) \int_r^{2r} \frac{dx}{x} = n(r) \log 2$$

$$\Rightarrow n(r) \log 2 \leq \frac{1}{2\pi} \int_0^{2\pi} \log |A e^{B|2r|^p}| d\theta - \log |f(0)|$$

$$\leq C r^p$$

(ii) in $B(0,1)$ at most contains finite zeroes of f

$$\sum_{|z_k| \geq 1} |z_k|^{-s} = \sum_{j=0}^{\infty} \left(\sum_{2^j \leq |z_k| < 2^{j+1}} |z_k|^{-s} \right) \quad (p-s) < 0$$

$$\leq \sum_{j=0}^{\infty} 2^{-js} n(2^{j+1}) \leq C \sum_{j=0}^{\infty} 2^{-js} 2^{(j+1)p} < \infty$$

NOTE. $s > p$ can't improve

$$f(z) = \sin \pi z = \frac{e^{i\pi z} - e^{-i\pi z}}{2i} \quad |f(z)| \leq e^{\pi |z|} \quad p=1 \quad (z=i\chi)$$

$$\sum_{n \neq 0} \frac{1}{|n|^s} < \infty \quad \text{if } s < 1$$

fixed $\{a_k\}$ want to construct an entire function f s.t. $f=0 \Leftrightarrow z=a_k$.

WLOG. $a_k \neq 0$. $\{a_k\}$ has no limit point

Idea $f = \prod_{k=1}^{\infty} (1 - \frac{z}{a_k}) e^{g_k}$

define $\prod_{n=1}^{\infty} (1+a_n) := \lim_{N \rightarrow \infty} \prod_{n=1}^N (1+a_n)$ (allow the limit = 0)

prop if $\sum |a_n| < \infty$. then $\prod_{n=1}^{\infty} (1+a_n)$ converge & the limit = 0 iff $a_n \rightarrow 0$

pf. $\sum |a_n| < \infty \Rightarrow |a_n| < \frac{1}{2}$ for n large enough (A.C)

$$b_n = \log(1+a_n) \quad B_N = \sum_{n=1}^N b_n$$

$$|\log(1+z)| = \left| z - \frac{z^2}{2} + \frac{z^3}{3} - \dots \right| \leq 2|z| \text{ if } |z| < \frac{1}{2}$$

Hence $|b_n| \leq 2|a_n| \Rightarrow \sum b_n$ converge $\Rightarrow \lim_{N \rightarrow \infty} B_N = B$ (B) $\square$

prop. $\{F_n\}$ is a sequence of holomorphic functions on Ω . if $\exists C_n > 0$ s.t.

$$\sum C_n < \infty \quad |F_n(z) - 1| \leq C_n \quad \text{on } \Omega$$

then ① $\prod_{n=1}^{\infty} F_n(z) \Rightarrow F(z)$ on Ω

② if $F_n(z) \neq 0$ then $\frac{F'(z)}{F(z)} = \sum_{n=1}^{\infty} \frac{F'_n(z)}{F_n(z)}$

Pf. ① $\prod_{n=1}^{\infty} ((F_n(z)-1)+1) \dots$

② $\forall K \subset \subset \Omega$

$$G_n = \prod_{k=1}^n F_k \Rightarrow F \Rightarrow G'_n \Rightarrow F' \Rightarrow \frac{G'_n(z)}{G_n(z)} \rightarrow \frac{F'(z)}{F(z)} \text{ on } K$$

$$\text{LHS} = \sum_{k=1}^n \frac{F'_k(z)}{F_k(z)} \rightarrow \frac{F'(z)}{F(z)} \text{ on } K$$

lem. If f is an entire function, then $\exists$ an entire function g s.t. $f \neq 0$

$$f = e^g$$

Pf. $\frac{f'}{f}$ is entire $g(z) = \int_{z_0}^z \frac{f'(s)}{f(s)} ds + C_0$ $C_0 = f(z_0)$

$g(z)$ is well-defined & $g'(z) = \frac{f'(z)}{f(z)}$

$$\Rightarrow (f e^{-g})' = f' e^{-g} - f e^{-g} g' = (f' - f g') e^{-g} = 0$$

$$\Rightarrow f e^{-g} = f(z_0) e^{-g(z_0)} = e^{C_0} e^{-C_0} = 1$$

$$\Rightarrow f = e^g$$

□

Thm. given any $\{a_n\} \subset \mathbb{C}$ with $|a_n| \rightarrow \infty$ as $n \rightarrow \infty$. there exists an entire function exactly vanishes at those points, and all these functions has the form $f(z) e^{g(z)}$ (g is entire)

Pf. ①. f_1, f_2 vanish at $\{a_n\}$ exactly $\Rightarrow \frac{f_1}{f_2}$ is entire and vanishing nowhere

$$\Rightarrow \frac{f_1}{f_2} = e^g \Rightarrow f_1 = f_2 e^g$$

② construct f let $E_0(z) = 1-z$ $E_k(z) = (1-z) e^{(z + \dots + \frac{z^k}{k})}$ (Canonical factor)

lem. if $|z| \leq \frac{1}{2}$ then $|1 - E_k(z)| \leq C |z|^{k+1}$

$$E_k = e^{\log(1-z) + z + \dots + \frac{z^k}{k}} = e^w$$

$$\text{NOTE } \log(1-z) = -(z + \dots + \frac{z^k}{k} + \dots) \Rightarrow w = -\left(\sum_{n=k+1}^{\infty} \frac{z^n}{n}\right)$$

$$|w| \leq |z|^{k+1} \sum_{n=k+1}^{\infty} \frac{|z|^{n-k-1}}{n} \leq |z|^{k+1} \sum_{j=0}^{\infty} 2^{-j} \leq 2|z|^{k+1} \leq 1$$

$$\Rightarrow |1 - e^w| \leq |w| \leq C|z|^{k+1} \quad (\text{more precisely } |1 - e^w| \leq e|w| \leq 2e|z|^{k+1})$$

define $f(z) = z^m \prod_{n=1}^{\infty} E_n(z/a_n)$ $a_n \neq 0$ m is the order of 0

suppose $z \in \mathbb{C}$. $|z| < R$ when $|a_n| > 2R \Rightarrow |z/a_n| < \frac{1}{2}$

$$\Rightarrow |1 - E_n(z/a_n)| \leq C|z|^{k+1} \Rightarrow f(z) \text{ converge uniformly in } |z| < R$$

$\Rightarrow f$ is entire □

Thm (Hadamard) f is entire and has growth order ρ . let k be the integer that $k \leq \rho < k+1$. if $a_1, a_2, \dots$ denote the (non-zero) zeroes of f then

$$f(z) = e^{p(z)} z^m \prod_{n=1}^{\infty} E_k(z/a_n) \quad \deg p \leq k$$

pf. WLOG. $f(0) \neq 0$ $\left(f(z) \stackrel{?}{=} e^{p(z)} \prod_{n=1}^{\infty} E_k(z/a_n), \quad E_k = (1-z)e^{z+\dots+\frac{z^k}{k}} \right)$

$\frac{f}{\prod_{n=1}^{\infty} E_k(z/a_n)}$ has no zeroes $\Rightarrow \frac{f}{\prod_{n=1}^{\infty} E_k(z/a_n)} = e^p$ it suffices to prove p is a polynomial

estimate the increase order upper bound of e^p
i.e. the lower bound of $\prod_{n=1}^{\infty} E_k(z/a_n)$

① $E_k(z) \geq e^{-C|z|^{k+1}} \quad |z| \leq \frac{1}{2}$

$E_k(z) = e^{-\sum_{n=k+1}^{\infty} \frac{z^n}{n}} = e^w \Rightarrow |E_k(z)| \geq e^{-|w|} \geq e^{-C|z|^{k+1}}$

② $E_k(z) \geq (1-z)e^{-C'|z|^k} \quad |z| \geq \frac{1}{2}$

$|E_k(z)| \geq |1-z| e^{-|z+\dots+\frac{z^k}{k}|} \geq |1-z| e^{-C'|z|^k}$

the proof has two steps below

step 1. $\exists \{r_n\} \quad n \rightarrow \infty$ s.t. $\prod_{n=1}^{\infty} E_n(z/a_n) \geq e^{-B|z|^s}$ $s > \rho$ holds on $|z| = r_n$

then $\left| f \left| \frac{1}{\prod_{n=1}^{\infty} E_k(z/a_n)} \right| \right| = e^{\operatorname{Re} p} \leq e^{C|z|^s} \Rightarrow \operatorname{Re} p \leq C|z|^s (*)$

Step 2. $p \in H(\mathbb{C})$ satisfies (*) on $|z| = r_n$ must be polynomial & $\deg p = s$

the proof of step 2. : $p(z)$ is entire

$$\Rightarrow p(z) = \sum_{n=0}^{\infty} b_n z^n \quad \text{use Cauchy integral formula}$$

$$\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} p(re^{i\theta}) e^{-in\theta} d\theta = \begin{cases} b_n r^n, & n \geq 0 \\ 0 & n < 0 \end{cases}$$

$$\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} \overline{p(re^{i\theta})} e^{-in\theta} d\theta = \begin{cases} \overline{b_n} r^n & n \leq 0 \\ 0 & n > 0 \end{cases}$$

$$b_n = \frac{1}{\pi r^n} \int_0^{2\pi} \operatorname{Re} p(re^{i\theta}) e^{-in\theta} d\theta$$

$$= \frac{1}{\pi r^n} \int_0^{2\pi} [\operatorname{Re} p(re^{i\theta}) - c|r|^s] e^{-in\theta} d\theta$$

$$|b_n| \leq \frac{1}{\pi r^n} \int_0^{2\pi} (\operatorname{Re} p(re^{i\theta}) - c r^s) d\theta$$

$$= 2 r^{s-n} - 2 \operatorname{Re} p(0) \cdot r^{-n} \quad n > s, r \rightarrow \infty \Rightarrow b_n = 0$$

the proof of step 1.

for Any $p_0 < s < k+1$ we have $\left| \prod_{n=1}^{\infty} E_k\left(\frac{z}{a_n}\right) \right| \geq e^{-c|z|^s}$ on $\mathbb{C} \setminus \bigcup_{n=1}^{\infty} B(a_n, |a_n|^{-k+1})$

$$\prod_{n=1}^{\infty} E_k\left(\frac{z}{a_n}\right) = \prod_{|a_n| \leq 2|z|} \prod_{|a_n| > 2|z|}$$

$$\left| \prod_{|a_n| > 2|z|} E_k\left(\frac{z}{a_n}\right) \right| \geq \prod_{|a_n| > 2|z|} e^{-c|z/a_n|^{k+1}} = e^{-c|z|^{k+1} \sum_{|a_n| > 2|z|} |a_n|^{-(k+1)}}$$

$$|a_n|^{-k-1} = |a_n|^{-s} |a_n|^{s-k-1} = \underbrace{C |a_n|^{-s}}_{\sum |a_n|^{-s} \text{ converge}} \cdot |z|^{s-k-1}$$

$$\Rightarrow \left| \prod_{|a_n| > 2|z|} E_k\left(\frac{z}{a_n}\right) \right| \geq e^{-c|z|^s}$$

$$\left| \prod_{|a_n| \leq 2|z|} E_k\left(\frac{z}{a_n}\right) \right| \geq \left| \prod_{|a_n| \leq 2|z|} \left(1 - \frac{z}{a_n}\right) \right| \left| \prod_{|a_n| \leq 2|z|} e^{-c'|z/a_n|^k} \right| \geq \left| \prod_{|a_n| \leq 2|z|} \left(1 - \frac{z}{a_n}\right) \right| \cdot e^{-c'|z|^s}$$

$$\prod_{|a_n| \leq 2|z|} e^{-c'|z/a_n|^k} = e^{-c'|z|^k \sum_{|a_n| \leq 2|z|} |a_n|^{-k}} \quad \uparrow$$

$$|a_n|^{-k} = |a_n|^{-s} |a_n|^{s-k} \leq C |a_n|^{-s} |z|^{s-k}$$

NOTE that $z \notin \bigcup_{n=1}^{\infty} \overline{B(a_n, |a_n|^{-k-1})} \Rightarrow |z - a_n| > |a_n|^{-k-1}$

$$\Rightarrow \left| \prod_{|a_n| \leq 2|z|} \left(1 - \frac{z}{a_n}\right) \right| = \prod_{|a_n| \leq 2|z|} \frac{|a_n - z|}{|a_n|} > \prod_{|a_n| \leq 2|z|} \frac{|a_n|^{-k-1}}{|a_n|} = \prod_{|a_n| \leq 2|z|} |a_n|^{-(k+2)}$$

$$\begin{aligned} \sum_{|a_n| \leq 2|z|} (k+2) \log |a_n| &\leq (k+2) n(2|z|) \log(2|z|) \\ &\stackrel{(s.p.)}{\leq} (k+2) |z|^s \log(2|z|) \\ &= C |z|^{s'} \end{aligned}$$

In total, the lemma holds true.

Since $\sum \frac{1}{|a_n|^{k+1}}$ converges, we can take a sequence of $\{r_i\}$ $r_i \rightarrow \infty$. $\square$

