

objects	$X =$ finite set	<del>compact</del> $[0, 1]$	$(0, 1]$
$f: X \rightarrow \mathbb{R}, \text{ cts}$	✓	bounded, attains min/max	X
$x_1, \dots, x_n \in X$	✓	BW adobe convergent subseq	X $\implies$ sequential compact
infinite subset	—	$A' \neq \emptyset$	$\exists A' = \emptyset$
$X = \bigcup U_\alpha$	✓	H.B finite sub covering	X $\implies$ compact
$F_1 \supseteq F_2 \supseteq \dots \supseteq X$ ⊥	✓	Cauchy $\bigcap F_n \neq \emptyset$	

Def.  $(X, \mathcal{T})$  (1) We say  $(X, \mathcal{T})$  is compact, if for any open covering of  $X$  admits a finite subcovering

$\mathcal{U} = \{U_\alpha\} \implies X = \bigcup_\alpha U_\alpha$

(2) We say  $(X, \mathcal{T})$  is seq compact, if for any seq  $\{x_n\}$  admits a subsequence  $x_{n_k} \rightarrow x_0 \in X$

$n_1 < n_2 < \dots$

subspace topology

Def. if  $A \subseteq (X, \mathcal{T})$  (1) We say  $A$  is cpe if  $(A, \mathcal{T}_A)$  is cpe

(2)

seq cpe

seq cpe.

prop.  $A$  is cpe  $\iff$  For any open covering  $\{U_\alpha\}$  of  $A$  (by open sets in  $X$ )

$A \subseteq \bigcup U_\alpha$   
sub covering.

Example (1)  $\mathbb{R}^n$  standard we cpe, not seq cpe

(2)  $A \subseteq \mathbb{R}$  cpe  $\iff$  seq cpe  $\iff$  bounded & closed

NOT True for  $(\mathbb{N}, \mathcal{T}_{dis})$

(2) infinite cpe  $\iff$  seq cpe ✓

$X$  cpe  $\iff X = \bigcup U_\alpha \implies \exists X = \bigcup_{i=1}^n U_{\alpha_i}$   $\iff \emptyset = \bigcap_{i=1}^n F_{\alpha_i} = \emptyset$

Con.  $(X, \mathcal{T})$  is cpe if  $F_1 \supseteq F_2 \supseteq \dots$  then  $\bigcap_{i=1}^\infty F_n \neq \emptyset$ .

$\forall \bigcap_{i=1}^n F_{\alpha_i} \neq \emptyset \implies \bigcap_{i=1}^\infty F_{\alpha_i} \neq \emptyset$

Finite intersection "FIP"

20 Prop. Let  $\mathcal{B}$  be a basis of  $(X, \mathcal{T})$

Then  $X$  is cpt  $\Leftrightarrow$  Any open covering of  $\mathcal{B}$  admits a finite covering

$$\mathcal{U} \subseteq \mathcal{B}$$

proof  $(\Leftarrow)$ , let  $\mathcal{U} \subseteq \mathcal{T}$ .  $\forall x. \exists U^x \in \mathcal{U}$

$\Rightarrow \exists U_x$  st  $x \in U_x \subseteq U^x$ .  $U_x \in \mathcal{B}$

$\Rightarrow U_x$  is a basis covering

$$\Rightarrow X = \bigcup_{i=1}^n U_{x_i} \stackrel{\text{finite}}{\subseteq} \bigcup_{i=1}^n U^{x_i}$$

$(\Rightarrow)$  basis covering is also open covering

Alexander subbasis thm: let  $\mathcal{C}$  be a subbasis of  $(X, \mathcal{T})$  then " $X$  is cpt  $\Leftrightarrow$  Any subbasis covering  $\mathcal{U} \subseteq \mathcal{C}$  admits finite subcovering"

Def (Hausdorff)  $(X, \mathcal{T})$  is Hausdorff if  $\forall x \neq y \in X. \exists U, V \in \mathcal{T}$

$$\text{T}_2 \text{ set } x \in U, y \in V. \underline{U \cap V = \emptyset}$$

Duality between cpt &  $T_2$ : " $A \subseteq X$  is closed  $\Rightarrow A$  is cpt"

(1) (a)  $(X, \mathcal{T})$  cpt, " $\mathcal{T}' \subseteq \mathcal{T} \Rightarrow (X, \mathcal{T}')$  is cpt"

(b)  $(X, \mathcal{T})$  cpt

(c)  $(X, \mathcal{T})$  is cpt

(2) (a)  $(X, \mathcal{T})$  is Hausdorff

(b)  $(X, \mathcal{T}) T_2$

(c)  $(X, \mathcal{T}) T_2$

" $A \subseteq X$  is cpt  $\Rightarrow A$  is closed"

(1) (a). if  $A$  is closed  $\Rightarrow A^c$  is an open covering

$$\Rightarrow A^c \cup (\bigcup_{i=1}^n U_i) = X$$

$$\Rightarrow \bigcup_{i=1}^n U_i \supseteq A$$

(2) (a)  $\forall x \in A^c \Rightarrow$  For any  $y \in A$

$$\Rightarrow \exists U_x, V_y \text{ such that } U_x \cap V_y = \emptyset$$

$\Rightarrow \{V_{y_i}\}_{i=1, \dots, n}$  is finite subcovering and  $\bigcup_{i=1}^n U_{x_i} \cap A = \emptyset \Rightarrow A^c$  is open

Prop.  $f: X \rightarrow Y$  cts.

(1) if  $A \subseteq X$  is cts. then  $f(A) \subseteq Y$  is cts

(2)  $\text{seq cts} \Rightarrow \text{seq cts}$

proof: (1) let  $V = \{V_\alpha\}$  is an open covering of  $f(A)$

$\Rightarrow f^{-1}(V_\alpha)$  is an open covering of  $A$

$\Rightarrow f^{-1}(V_i)$  is "sub covering of  $A$ "

$\Rightarrow V_i$  is finite  $\because A$

(2)  $f$  persists <sup>seq</sup> convergence

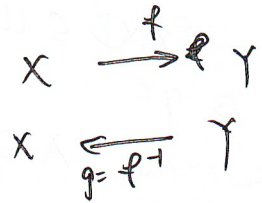
Cor  $f: X \rightarrow \mathbb{R}$ .  $X$  is cts  $\Rightarrow f$  is bounded, attains min/max

Cor Quotient space of compact space is cts.

like  $\mathbb{R}^n$

Cor  $X$  cts.  $Y$  Hausdorff,  $f: X \rightarrow Y$  cts  $\Rightarrow f$  is closed map

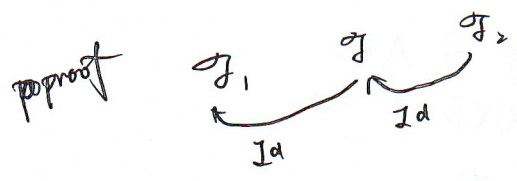
Cor  $X$  cts  $Y T_2$ .  $f$  cts bijective  $\Rightarrow f$  is homeomorphism.



Cor  $(X, \mathcal{O})$  is cts hausdorff

if  $\mathcal{O}_1 \neq \mathcal{O}_2 \neq \mathcal{O}_1$   
 $\downarrow$  NOT Hausdorff       $\downarrow$  NOT cts.

"CH space."



rmk  $\text{cts} \rightarrow \text{weak Hausdorff} \rightarrow \text{fine CH}$   
 CH: "the balance."

Rmk. In general  $f: X \rightarrow Y$

$f^{-1}(\text{cts}) \neq \text{cts}$

Def  $f$  is proper if  $f^{-1}(\text{cts}) = \text{cts}$ .

we can prove  $f$  is proper

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Lecture 9. Compactness of product space

finite infinite and ~~open~~ ~~seq~~ cpt.

lem 1. (tube lemma). Suppose  $x_0 \in X$ ,  $B$  is open in  $Y$   
 $\{x_0\} \times B \subseteq N$ ,  $N$  is open in  $X \times Y$ . then we can  
 find open sets  $U \subseteq X, V \subseteq Y$  s.t.  $\{x_0\} \times B \subseteq U \times V \subseteq N$ .



i.e. We can find an open set with "good shape".

proof. for  $\forall y \in B \Rightarrow$  we can find  $x \in U_y, y \in V_y$

s.t.  $(x_0, y) \in U_y \times V_y \subseteq N$

By openness of  $B, \bigcup_{y \in B} V_y \supseteq B \Rightarrow \bigcap_{i=1}^m U_{y_i} \supseteq B.$

$\Rightarrow U = \bigcap_{i=1}^m U_{y_i}, V = \bigcup_{i=1}^m V_{y_i}$

$\Rightarrow U \times V \subseteq N \quad \square$

cor. Suppose  $A \subseteq X, B \subseteq Y$  is open. ~~then  $\exists U$~~   $A \times B \subseteq N$   
 then  $\exists U \supseteq A, V \supseteq B, U \times V \subseteq N$

proof.  $\forall x \in A, \{x\} \times B \subseteq U_x \times V_x \subseteq N$   
 since  $A$  is open

$\Rightarrow \exists x_1, \dots, x_n, A \subseteq \bigcup_{i=1}^n U_{x_i} =: U$

$B \subseteq \bigcap_{i=1}^n V_{x_i}$   
 contains  $B$  & open

$\Rightarrow A \times B \subseteq U \times V \subseteq N. \quad \square$

Thm. if  $A, B$  is cpt.  $\Rightarrow A \times B$  is cpt.

proof. let  $\mathcal{W} = \{W_\alpha\}$  is an open covering of  $A \times B$

$\forall x$ , then  $\{x\} \times B$  is cpt. since  $\{x\} \times B$  is the  
 image of  $ix \times Y \rightarrow X \times Y$  is cts  
 $y \rightarrow (x, y)$

so  $\exists W_1^x, \dots, W_m^x$  s.t.  $\{x\} \times B \subseteq W_1^x \cup \dots \cup W_m^x$   
 $\Rightarrow \exists U_x$  s.t.  $\{x\} \times B \subseteq U_x \times B \subseteq W_1^x \cup \dots \cup W_m^x$

Since  $A$  is cpt  $\exists x_1, \dots, x_n, A \subseteq U_{x_1} \cup \dots \cup U_{x_n}$   
 so  $A \times B \subseteq \left( \bigcup_{i=1}^n U_{x_i} \right) \times B \subseteq \bigcup_{i=1}^n \bigcup_{j=1}^m W_{ij}^x \quad \square$

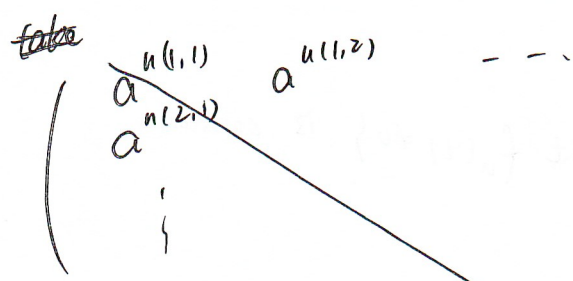
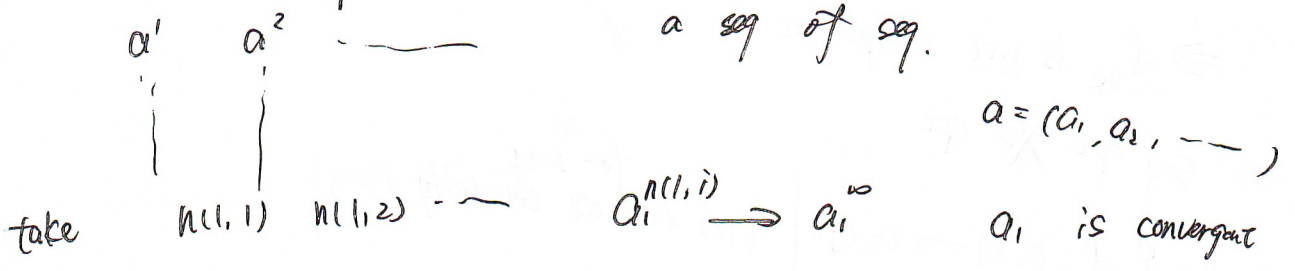
We use subbasis to ~~construct~~ solve the thm in fact.

Thm (Tychonoff)  $(X_\alpha, \mathcal{T}_\alpha)$  cpt  $\iff (\prod_\alpha X_\alpha, \mathcal{T}_{prod})$  cpt.

Example  $(X^{\mathbb{N}}, \mathcal{T}_{prod}) = (\bigcup_{X \in \mathcal{C}} (N, X), \mathcal{T}_{p.c.})$

①  $X = \{0, 2\} \rightsquigarrow (\mathcal{C}, \mathcal{T}_{seq})$  is cpt.

②  $X = [0, 1]$  is seq cpt



metric.  $Seq. \text{cpt.} \iff cpt$

Thm (Alexander) Any subbasis covering has finite subcovering  $\implies X$  is cpt.

proof is later

~~Thm (Tyo)~~  
the proof of Tychonoff.

let  $\mathcal{U} = \{ \pi_{\alpha_0}^{-1}(U) \mid U \in \mathcal{A}_{\alpha_0} \}$  be a subbasis covering.

where  $\mathcal{A}_{\alpha_0} \subseteq \mathcal{T}_{\alpha_0}$

Claim  $\exists \alpha_0$  s.t.  $\mathcal{A}_{\alpha_0}$  is open covering of  $X_{\alpha_0}$

Axiom of Choice

otherwise.  $\forall \alpha. X_\alpha \setminus \bigcup_{U \in \mathcal{A}_\alpha} U \neq \emptyset \implies \prod_\alpha (X_\alpha \setminus \bigcup_{U \in \mathcal{A}_\alpha} U) \neq \emptyset$

$\implies \mathcal{U}$  is not a covering

$\exists U_1, \dots, U_m$  on  $\mathcal{A}_{\alpha_0}$  s.t.  $X_{\alpha_0} = U_1 \cup \dots \cup U_m$

$\implies \pi_{\alpha_0}^{-1}(U_1), \dots, \pi_{\alpha_0}^{-1}(U_m)$  is finite sub covering  $\square$

Example  $\text{cpt} \not\Rightarrow \text{Seq cpt}$

- $X = [0, 1]$   ~~$X \subset [0, 1]$~~  is  $\text{cpt}$  by Tychonoff  $\equiv (U_{i \in \mathbb{N}} [0, 1], [0, 1], \mathcal{T}_{\text{pc}})$
- consider  $f_n : [0, 1] \rightarrow [0, 1]$   $x \mapsto$  nth digit of its binary expression of  $x$ .

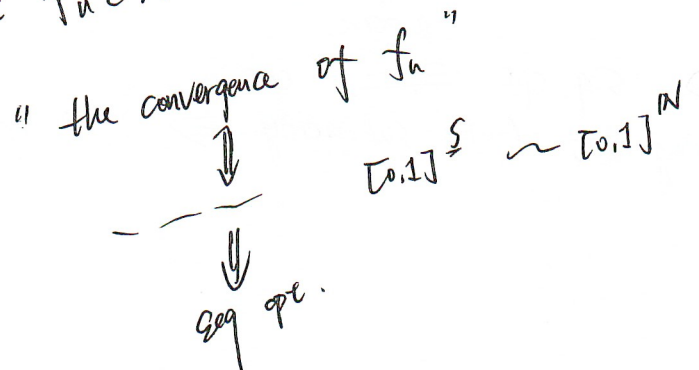
For Any  $(f_{n_k})$  take  $x_0 = ( \begin{matrix} 0 \\ \uparrow \\ n_{2k} \end{matrix} \quad \begin{matrix} 1 \\ \uparrow \\ n_{2k+1} \end{matrix} \quad \dots )$

$\Rightarrow f_{n_k}$  is not convergent at  $x_0$ .  $\neq$

(2)  $\text{Seq cpt} \not\Rightarrow \text{cpt}$   
 $A = \{ f : [0, 1] \rightarrow [0, 1] \mid f(x) \neq 0 \text{ for at most countably times} \}$

-  $A$  is  $\text{Seq cpt}$

Let  $f_n \in A$ . Then  $S = \{ f \mid \exists n \in \mathbb{N} \text{ s.t. } f_n(t) \neq 0 \}$  is countable



But  $A$  is not  $\text{cpt}$ .

$$A_t = \{ f \mid f(t) = 1 \} = \{ f \mid f(t) = 1 \}$$

$$\bigcap_{t \in [0, 1]} A_t = \emptyset \quad \text{but} \quad \bigcap_{i=1}^n A_{t_i} \neq \emptyset$$

the proof of Alexander subbasis theorem

Axiom of Choice  
 for any  $\mathcal{A} \in \mathcal{P}(X) \setminus \{ \emptyset \} \Rightarrow$  a function  $f : \mathcal{A} \rightarrow X$  s.t.  $f(A) \in A \quad \forall A \in \mathcal{A}$

$\Downarrow$   
 Zorn lem

if  $X$  is not cpt.

$W_{\text{cpt}} = \{ \mathcal{A} \subseteq \mathcal{F} \mid \mathcal{A} \text{ is open covering, but has no finite subcovering} \}$

since  $X$  is not  $\Rightarrow W_{\text{cpt}}$  is not  $\emptyset$ .

" $\subseteq$ " is a partial order on  $W_{\text{cpt}}$ .

take a totally ordered subset of  $W_{\text{cpt}}$  —  $\mathcal{M}$

then ①  $\mathcal{E} = \bigcup_{\mathcal{A} \in \mathcal{M}} \mathcal{A} \subseteq \mathcal{F}$

②  $\mathcal{E}$  is an open covering of  $X$ .

③  $\mathcal{E}$  is a upper bound of  $\mathcal{M}$

fact.  $\mathcal{E}$  has no finite subcovering.

$U_1, \dots, U_n$  in  $\mathcal{E}$

$\Rightarrow U_i \in \mathcal{A}_i$

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have a max --  $\mathcal{A} \in W_{\text{cpt}}$

30 Lecture 10. the compactness of metric space

For metric space.

"countability"

A1. "countable neighborhood basis" -  $F$  is closed set  $\iff F$  contains all the all the seq limit point.

-  $f: (X, d) \rightarrow (Y, \mathcal{T})$  cts  $\iff$  seq cts

the second countability --

"separable"

$T_2$  (Hausdorff)  $\forall x \neq y, \exists U, V \in \mathcal{T}, x \in U, y \in V, U \cap V = \emptyset$ .

metric space is  $T_2$ . to prove by triangle inequality.

- ① the limit is unique
- ② cpe subset is closed

$T_4$  (normed) two closed sets can be separated by open sets  
 prove by Uryson lemma.

metric space is  $T_4$ .

"Compactness"

Thm For  $A \subseteq (X, d)$  TFAE.

- ①  $A$  is cpe
- ②  $A$  is seq cpe
- ③  $A$  is totally bounded and absolute closed
- ④  $A$  is finite cpe
- ⑤  $A$  is countable cpe
- ⑥  $A$  is pseudo cpe

e.g. bounded and closed set need not to be cpe

$(\mathbb{N}, d_{dis})$  ~~is~~ not cpe, not seq cpe

$[0, 1] \subseteq \mathbb{Q} \cap (0, 1)$  is not cpe, not seq cpe

③' totally bounded & Lebesgue number property

$\Downarrow$   
 complete

Def. We say a metric space is totally bounded if  $\forall \epsilon > 0$ ,  $\iff$  finite  $\epsilon$ -net

prop  $(X, d)$  cpe on seq cpe  $\implies (X, d)$  is total cpe bounded

proof. cpe) take  $B(x, \epsilon) \implies$  finite covering  
 (seq cpe) if  $\exists \epsilon > 0$ , ~~or~~ so there exists no finite  $\epsilon$ -net  
 take  $\forall x_0 \implies X \setminus B(x_0, \epsilon) \neq \emptyset$   
 take  $x_1 \implies X \setminus (B(x_0, \epsilon) \cup B(x_1, \epsilon)) \neq \emptyset$



$$\Rightarrow X \setminus \bigcup_{i=1}^{\infty} B(x_i, \epsilon) \neq \emptyset$$

but  $d(x_i, x_j) > \epsilon \Rightarrow$  not seq opt.

prop. (Lebesgue Number Property) If  $(X, d)$  is seq opt. then the set satisfy

"  $\downarrow$  " i.e. for any open covering  $\mathcal{U}$ .  $\exists \delta$  (dep on  $\mathcal{U}$ )

s.t any subset  $A \subseteq X$ .  $\text{diam } A < \delta$ , then  $A \subseteq U \in \mathcal{U}$ .

s.t  $A \subseteq U$ . " $\delta$ " is called ~~the~~ lebesgue number of the open covering"

proof. if not,  $\exists \mathcal{U}$  has no lebesgue number

$$\exists \{C_n \neq \emptyset\} \text{ diam } C_n < \frac{1}{n}, \quad C_n \not\subseteq U \in \mathcal{U}$$

take  $x_n \in C_n$ ,  $x_{n_k} \rightarrow x_0 \in U \subseteq X$ . when  $n_k$  big enough

$$\text{But } C_{n_k} \subseteq U. \quad \downarrow$$

seq opt  $\Rightarrow$  cpt

Seq opt  $\Rightarrow$  LN  $\delta$ .  $\Rightarrow$  finite

$$\mathcal{U} \Rightarrow \mathcal{U} \supseteq B(x_i, \frac{\delta}{2}) \quad \exists \frac{\delta}{2} \text{-net} \quad X = \bigcup_{i=1}^{\infty} B(x_i, \frac{\delta}{2}) \Rightarrow \text{cpt.}$$

1)  $\Rightarrow$  (3a)

2)  $\Rightarrow$  (3a)

2)  $\Rightarrow$  (3b')

2)  $\Rightarrow$  (3')  $\Rightarrow$  1)

Def.  $(X, d)$  is complete if any cauchy seq converges

See in hw.

Def. We say  $(\hat{X}, \hat{d})$  is a completion of  $(X, d)$  if

1)  $\exists$  isometric embedding  $(X, d) \hookrightarrow (\hat{X}, \hat{d})$

2)  $\overline{f(X)} = \hat{X}$

prop. ~~Any~~ Any  $(X, d)$  admits a completion

pf 1  $(X, d) \hookrightarrow C(X, \mathbb{R})$

pf 2 "cauchy seq"

as  $\mathbb{Q} \rightsquigarrow \mathbb{R}$

$$B(x, \epsilon) = \{f: X \rightarrow \mathbb{R} \mid \text{is bounded}\}$$

<sup>22</sup> prop.  $(X, d)$  complete  $A \subseteq X$  closed  $\Rightarrow (A, d)$  complete

def. We say  $(X, d)$  absolutely closed, if. For any isometric embedding  $f: (X, d) \rightarrow (Y, d_Y)$   $f(X)$  is closed in  $Y$

prop. A metric space is complete  $\Leftrightarrow$  it's absolutely closed.  
 $(X, d)$

proof.  $(\Rightarrow)$  isometric embedding persist its Cauchy seq  
 $\Rightarrow f(X)$  is always closed.

$(\Leftarrow)$   $(X, d) \hookrightarrow (\bar{X}, d)$   $\tau(X)$  is closed in  $\bar{X}$   
consider  $\Rightarrow X$  is complete

cor.  $(X, d)$  is cpe or seq cpe  $\Rightarrow (X, d)$  is complete

pf. iso  $f: X \hookrightarrow Y$   
 $f$  is cts.  $\Rightarrow f(X)$  is cpe or seq cpe  $\Rightarrow$  closed  $\square$

now.  
1)  $\cdot$  (2)  $\Rightarrow$  (3)  
2)  $\Rightarrow$  1)  $\Rightarrow$  (3)  
 $\Downarrow$   $\Downarrow$   
(3b')

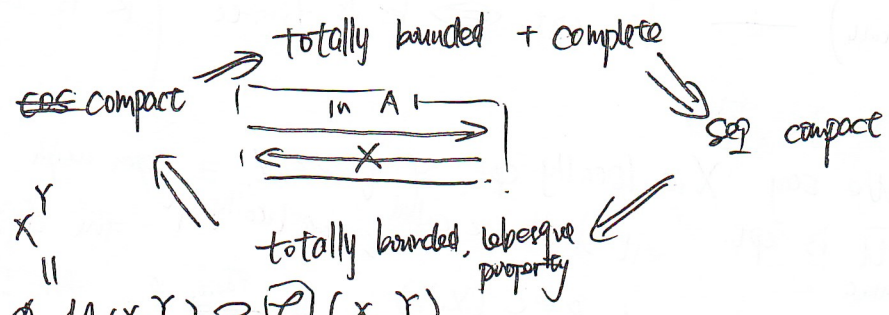
Finally prop.  $(X, d)$  is totally bounded and absolutely closed  $\Rightarrow (X, d)$  is seq cpe

proof. If  $(X_n)$  totally bounded  $\Rightarrow$  finite 1-net  
one ball contains infinite points.  $J_1 = \{n \mid X_n \in B_1\}$   
finite  $\frac{1}{2}$ -net  $J_2 = \{n \in J_1 \mid X_n \in B_2\}$   
 $\vdots$

$J_1 \supseteq J_2 \supseteq \dots$   
 $\forall m, n \in J_k \quad d(X_m, X_n) < \frac{2}{k}$   
take  $n_1 \in J_1, n_2 \in J_2, \dots$   
 $\Downarrow$  Cauchy seq.  $\Rightarrow$  complete limit  $\square$

recall

In  $(X, d)$



Lecture 11

$$\mathcal{C}(X, Y) \cong \mathcal{C}(X, Y)$$

with topology

can be a simple case

Setting 1.  $Y$  should be  $(Y, \mathcal{T}) \rightarrow \mathcal{T}_{prod} = \mathcal{T}_{pc}$

Setting 2.  $(Y, d) \rightarrow d_{uni} = \sup_{x \in X} \frac{d(f, g)}{1 + d(f, g)}$  ~~is~~ metric on  $\mathcal{M}(X, Y)$

We have " $f_n \rightarrow f$  on  $X \Leftrightarrow f_n \rightarrow f$  w.r.t  $d_u$ "  $\rightarrow \mathcal{T}_{u.c.}$

If we don't know the  $uni$  and the  $seq$  space is complete we can finally use the cauchy  $seq$  to test a  $seq$  is convergent or not.

prop. if  $(Y, d)$  complete, then  $(\mathcal{M}(X, Y), d_u)$  complete.

Setting 3.  $(X, \mathcal{T}), (Y, d) \rightarrow \mathcal{C}(X, Y)$  NOT closed in  $\mathcal{T}_{prod}, \mathcal{T}_{pc}$

Fact,  $\mathcal{C}(X, Y)$  is closed in  $(\mathcal{M}(X, Y), \mathcal{T}_{u.c.})$  | the uniform limit is still cts.

$\Rightarrow$  Cor.  ~~$\mathcal{C}(X, Y)$~~  if  $(Y, d)$  complete, then  $(\mathcal{C}(X, Y), d_u)$  is complete.

Example.  $X = Y = \mathbb{R}$

①  $f_n(x) = e^{-n|x|}$   $\xrightarrow{\text{pointwise}}$   $\begin{cases} 1 & x=0 \\ 0 & x \neq 0 \end{cases}$  NOT cts.

②  $f_n(x) = \frac{x^2}{n}$   $\xrightarrow{\text{u.c.}}$   $X$   
 $\xrightarrow{\text{p.c.}}$   $f \equiv 0 \in \mathcal{C}(\mathbb{R}, \mathbb{R})$

$\mathcal{T}_{pc}$  too weak.  
 $\mathcal{T}_{u.c.}$  too strong.  
 Continuity is local property.

Compare  $\mathcal{T}_{u.c.}$   $B(\mathbb{Q}, f, \epsilon) = \{g \in \mathcal{M}(X, Y) \mid |d(g(x), f(x))| < \epsilon, \forall x \in X\}$  global.

$\mathcal{T}_{pc}$   $B(f, x_1, \dots, x_n, \epsilon) = \{g \in \mathcal{M}(X, Y) \mid d(g(x_i), f(x_i)) < \epsilon, i=1, \dots, n\}$  point

compact convergent topology  $\mathcal{T}_{c.c.}$   $B(f, K, \epsilon) = \{g \in \mathcal{M}(X, Y) \mid \sup_{x \in K} |d(g(x), f(x))| < \epsilon, \text{ for } K \subseteq X\}$

$\mathcal{T}_{c.c.}$  is generated by  $\mathcal{B}_{c.c.}$

prop. ①  $\mathcal{B}_{c.c.}$  is a basis. ②  $f_n \rightarrow f$  w.r.t  $\mathcal{T}_{c.c.} \Leftrightarrow f_n \rightarrow f$  unif on each  $K$ .

proof of ②.  $f_n \rightarrow f$  unif  $\Leftrightarrow \forall K, \forall \epsilon > 0, \exists N > 0, n \geq N \sup_K (d(f_n, g)) < \epsilon$   
 $\Leftrightarrow f_n \in B_{c.c.} \Leftrightarrow f_n \rightarrow f$  is ~~in~~  $\mathcal{T}_{c.c.}$

So, if  $f_n \rightarrow f$  in  $\mathcal{T}_{c.c.} \Rightarrow f$  cts on  $K$ .  $\mathcal{A} = \{f \text{ cts on } X\} ?$

Example.  $(\mathbb{R}, \sigma_{\text{countable}})$  — "K cpt  $\Leftrightarrow$  K is finite" | K is too few.

$(K, \sigma_{\text{cc}}) = (K, \tau_{\text{cc}})$

Def. (locally cpt) We say X is locally cpt, if  $\forall x \in X, \exists$  open neigh U of x. s.t  $\bar{U}$  is cpt.

it should be called strong locally cpt the definition is true for LCH space.

with paste lemma

prop. if X is lc (X, d) and  $f_n \in C(X, Y)$ .  $f_n \xrightarrow{\sigma_{\text{cc}}} f$ .

In analysis.  $\rightarrow$  locally compact Hausdorff space = LCH space

locally Euclidean  $\forall x \in X, \exists U$  open containing x. s.t  $U \cong \mathbb{R}^n$  for B

prop. Let X be LCH. K cpt. U open  $K \subseteq U$ . then  $\exists$  open set V. s.t  $\bar{V}$  is cpt and  $K \subseteq V \subseteq \bar{V} \subseteq U$

Hint. We have infinite "partition" of U.

proof. assume  $K = \{x\}$ . By definition.  $\exists W$  open.  $\bar{W}$  is cpt.

let  $U_1 = U \cap W$  then  $\bar{U}_1 \subseteq \bar{W} \Rightarrow \bar{U}_1$  is cpt



if  $U_1 = \bar{U}_1$  trivial  
if  $U_1 \neq \bar{U}_1$   $\bar{U}_1 \setminus U_1$  is closed  $\Rightarrow$  cpt

since  $\tau_2$ .  $\forall y \in \bar{U}_1 \setminus U_1, \exists y \in U_y, x \in V_y$ .

$\Rightarrow \exists y_1, \dots, y_m$ . s.t  $U_{y_1} \cup \dots \cup U_{y_m} \supseteq \bar{U}_1 \setminus U_1$

We can always  $\forall y \in U$

consider  $V = U_{y_1} \cap \dots \cap U_{y_m} \subseteq U_1$

$\bar{V} \subseteq \bar{U}_1 \cap \dots \cap \bar{U}_{y_m} \subseteq \bar{U}_1$  closed & cpt

$\bar{V} \subseteq U \Rightarrow \bar{V}$  is cpt.  
 $\forall y \in U_y^c = (U \cup U_y)^c \subseteq U_1$

case 2. general K.  $\forall x \in V \subseteq \bar{V} \subseteq U$

$\{V_x\} \supseteq K \Rightarrow V_{x_1} \dots V_{x_n} \supseteq K$   
 $\Rightarrow K \subseteq \bar{V} \subseteq U$

setting 4.  $(X, \sigma), (Y, \tau)$

Def (Compact Open topology) on  $M(X, Y) \subseteq C(X, Y)$   
generated by  $\mathcal{C} = \{S(K, V) \mid K \subseteq X \text{ cpt. } V \subseteq Y\}$   
 $S(K, V) = \{f \mid f(K) \subseteq V\}$

Example  $X = \mathbb{R}$

prop.  $\tau_{\text{co}} = \sigma_{\text{cc}}$

Natural maps betw mapping space

$\mathbb{A} \subseteq X, \tau_A : M(X, Y) \rightarrow M(\mathbb{A}, Y) f \mapsto f|_A$

prop.  $\tau_A$  is continuous.  $\tau_{\text{co}}$  is continuous.  $\tau_A$  is continuous and  $\tau_A^{-1}(S(K, V)) = S(K, V)$  is continuous.

Composition

$\mathbb{I} : C(X, Y) \times C(Y, Z) \rightarrow C(X, Z)$

$f, g \mapsto g \circ f$

prop.  $\mathbb{I}$  is continuous

$K \subseteq X$  cpt  $W \subseteq Z$  open

$g \circ f \in S(K, W) \iff f(K) \subseteq V \text{ cpt } g(V) \subseteq W$

$\Rightarrow f(K) \subseteq V \iff f(K) \subseteq V \cap W \iff f(K) \subseteq V \cap W$

$\Rightarrow S(K, V) \times S(V, W) \subseteq \mathbb{I}^{-1}(S(K, W))$

cor  $X$  is LCH  $\Rightarrow$  evaluation is continuous.  $(e, \sigma_{\text{co}}) \cong (Y, \sigma_{\text{cc}})$

$\sigma_{\text{cc}}$  dep on metric but not so much.

Classic version.  $\{f_n\}$ .  $f_n \in \mathcal{C}([0,1], \mathbb{R})$

① if  $f_n$  uniformly bounded and equicontinuous, then  $(f_n)$  has a convergent sub

- uniformly bounded.  $\exists M > 0$ , s.t.  $|f(x)| \leq M$ , indep of  $x \in X$

- equicontinuous.  $\forall x_0, \forall \epsilon > 0, \exists \delta = \delta(x_0, \epsilon)$

$$|x - x_0| < \delta \Rightarrow |f(x) - f(x_0)| < \epsilon, \forall f \in \mathcal{F}$$

eg.  $f_n = n$ . NOT uniformly bounded

$f_n = x^n$  on  $[0,1]$  NOT equicontinuous at  $x_0 = 1$

② If any  $(f_n)$  has convergent subseq, then  $(f_n)$  has uniformly bounded and equicontinuous

take away the bad ones.

Metric notation

Today's basic setting  $(X, \sigma)$   $(Y, d)$

def.  $\mathcal{F} \subseteq \mathcal{C}(X, Y)$  is equicontinuous if

$\forall x_0, \forall \epsilon > 0, \exists U \ni x_0$  [depend on  $x_0$ , but not indep of  $f \in \mathcal{F}$ ]  
s.t.  $d(f(x), f(x_0)) < \epsilon$  for  $\forall x \in U, \forall f \in \mathcal{F}$

Remark. the definition has not to do with the topology  $\sigma$  on  $\mathcal{C}(X, Y)$ .

prop. Any totally bounded subset  $\mathcal{F} \subseteq (\mathcal{C}(X, Y), d_u)$  is equicontinuous.

proof. [use finite  $\epsilon$ -net  $\rightarrow$  finite  $\mathcal{F}$ ]  
finite  $\mathcal{F} \Rightarrow$  must equicontinuous.

$\forall \epsilon > 0$ , take finite  $\epsilon_1$ -net  $\{f_1, \dots, f_n\}$  of  $\mathcal{F}$  in  $d_u$  metric

Fix  $x_0$ , take  $U = \bigcap_{k=1}^n f_k^{-1}(B(f_k(x_0), \epsilon/3))$

then for  $\forall x \in U, \forall f \in \mathcal{F}$ , take  $k$  s.t.  $d_u(f_k, f) < \epsilon_1$

$$d(f(x), f(x_0)) \leq \underbrace{d(f(x), f_k(x))}_{\epsilon/3} + \underbrace{d(f_k(x), f_k(x_0))}_{\epsilon/3} + \underbrace{d(f_k(x_0), f(x_0))}_{\epsilon/3}$$

$$d_u \triangleq \sup_{x \in X} \frac{d(f(x), g(x))}{1 + d(f(x), g(x))}$$

$\Downarrow \epsilon_1$  small enough  
 $d_u(f, g) < \epsilon_1 \Rightarrow d(f(x), g(x)) < \epsilon, \forall x \in X$

totally bounded . i.e. finite, good enough, approximate  $\mathcal{F}$ .

What does equicontinuous say?

understand  $\mathcal{F}$  on finite points (compare with opt)

prop.  $\mathcal{F}$  equicontinuous  $\Rightarrow (\mathcal{F}_c, \mathcal{F}_p) = (\mathcal{F}_c, \mathcal{F}_c)$

$\mathcal{F}_p \subseteq \mathcal{F}_c$ .  
we need to prove  $\mathcal{F}_c \subseteq \mathcal{F}_p$

proof.  ~~$\forall f \in \mathcal{F}$  want  $\exists U \ni x_0$~~

By def.  $\forall \epsilon > 0, x_0 \in K, \exists \delta > 0$ , s.t.  $d(f(x), f(x_0)) < \epsilon/3$

Since  $K$  is opt, finite  $U_1, \dots, U_n$

$$d(f(x_i), f(x_j)) < \epsilon/3, \forall x_i \in U_i, \forall f \in \mathcal{F}$$

$U = \cup (f_i, x_i, \dots, x_n, \epsilon)$  we can check  $\forall f \in U \cap \mathcal{F}, \forall x \in K, d(f(x), f_0(x)) < \epsilon$

36. prop. of  $\mathcal{F} \subseteq \mathcal{C}(X, Y)$  equicont.  $\Rightarrow \overline{\mathcal{F}}$  p.c. equicont.  
 [In particular  $\mathcal{K} \subseteq \mathcal{C}(X, Y)$ ]

proof. ( $\forall x_0, \forall \epsilon > 0$ , want  $U$ .  $d(g(x), g(x_0)) < \epsilon, \forall x \in U, g \in \mathcal{F}$ )

By def.  $\forall \epsilon > 0, U \ni x_0$  s.t.  $d(f(x), f(x_0)) < \epsilon, \forall f \in \mathcal{F}, \forall x \in U$ ,  
 (We ~~cannot~~ <sup>with</sup> the  $U$  works out).  
 what we fixed. take  $f \in W(g, x_0, \epsilon/3) \cap \mathcal{F}$

~~fix  $g, x_0$~~   $\forall x \in U, \forall g \in \mathcal{K}$

$$d(g(x), \overline{g(x_0)}) \leq d(g(x), f(x)) + d(f(x), f(x_0)) + d(f(x_0), g(x_0)) < \epsilon$$

general ~~case~~ version. Arzelà-Ascoli Thm.

① if  $\mathcal{F}$  equicontinuous and pointwise precompact  
 then  $\overline{\mathcal{F}}^{p.c.} \subseteq (\mathcal{C}(X, Y), \mathcal{F}_c.c.)$  is c.p.c.

$A \subseteq X$  is precompact means  $\bar{A}$  is c.p.c.

pointwise precompact:  $\forall a \in X, \mathcal{F}_a = \{f(a) \mid f \in \mathcal{F}\}$  is precompact

② if  $X$  is LCH, then the converse is true.

Remark ①  $\mathcal{F}_c.c.$  is not metric ~~top~~ topology in ~~general~~ general  
 then c.p.c.  $\not\Rightarrow$  seq c.p.c.

② if  $X$  is c.p.c., then  $\mathcal{F}_c.c. = \mathcal{F}_u.c.$  is metric topology.  
 $\Rightarrow$  c.p.c. = seq c.p.c.

③  $Y = (\mathbb{R}^n, \mathcal{F}_E)$  pointwise c.p.c. = pointwise bounded.

Remark. For noncompact  $X$

Thm [AA for LC +  $\sigma$ -compact]

$$X = \bigcup_{k \in \mathbb{N}} K_k, K_k \text{ c.p.c.}$$

if  $X$  is LC +  $\sigma$ -c.p.c.  $(Y, d)$

$(f_n)$  equicont. + pointwise pre-c.p.c.

then  $(f_n)$  has convergent subseq  $\rightarrow f$  uniformly on any c.p.c. set

① Denote  $R = \overline{\mathcal{F}}^{p.c.}$   $K_a = \overline{\mathcal{F}_{f_a}} \subseteq Y$   
 by condition  $K_a$  closed & cpe.

Tychonoff  $\prod_a K_a$  <sup>cpe</sup> ~~cpe~~  
 $= \bigcap_{a \in X} \underbrace{\pi_a^{-1}(K_a)}_{\text{closed}} \subseteq (U(X, Y, \mathcal{F}_{p.c.}))$

By def.  $\mathcal{F} \subseteq \prod_a K_a \Rightarrow R$  is cpe in  $\mathcal{F}^{p.c.}$

But  $R$  is equicontinuous.  $\Rightarrow (R, \mathcal{F}_{p.c.}) = (R, \mathcal{F}_{c.c.})$

$\Rightarrow \overline{\mathcal{F}}^{c.c.} = R$  is cpe in T.c.c.

②. "Let  $R = \overline{\mathcal{F}}^{c.c.}$  is cpe  $\Rightarrow R$  equicont + pointwise"   
 ought to prove.

(i)  $K_a$  cpe. Since it's the ~~its image~~ <sup>image of  $k$</sup>

$$\begin{array}{ccc} \mathcal{C}(X, Y) & \xrightarrow{\hat{J}_a} & X \times \mathcal{C}(X, Y) & \xrightarrow{ev} & Y \\ f & \mapsto & (a, f) & \xrightarrow{LCH} & f(a) \end{array}$$

(ii)  $\forall x \in A$  cpe  $x \in A$

$$\begin{array}{ccc} r_A : \mathcal{C}(X, Y) & \rightarrow & \mathcal{C}(A, Y) \text{ c.c.} \\ R & \rightsquigarrow & r_A(R) \text{ cpe in } (\mathcal{C}(A, Y), \mathcal{F}_{c.c.}) \\ & & \parallel \text{cpe} \\ & & \mathcal{F}_{u.c.} \\ & \Downarrow & \\ & \text{totally bounded} & \\ & \Downarrow & \\ & \text{equicont} & \end{array}$$

# 3<sup>rd</sup> Lecture 13. Stone-Weierstrass Theorem

Basic Setting  $X$  is CH.  $(Y, d)$  is  $\mathbb{R}/\mathbb{C}$ .

$$\mathcal{C}(X, \mathbb{R}), \int_u = \int du \quad du \approx dv \text{ topologically equivalent.}$$

$$\parallel \int dv \quad \text{Since } X \text{ is Cpt.}$$

Classic Version Any  $f \in \mathcal{C}([0,1], \mathbb{R})$  can be approximated by a collection of polynomials unif.

$P_n \rightrightarrows f$

Rmk. can take  $a_0 = f(0)$ .

Make the zeroth term be const.

$P_n(0) \rightarrow f(0)$ . use  $\tilde{P}_n = P_n(x) - P_n(0) + f(0) \rightrightarrows f$   
 ← algebraically

Observation:  $\text{Poly}([0,1], \mathbb{R})$  is "closed" under "+" & "."

We have used Bernstein Polynomial to prove the theorem above.

Def. "Algebra"  $(A, +, \cdot)$  s.t. ①  $(A, +)$  is a vector space over  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ ...  
 ②  $\cdot : A \times A \rightarrow A$  satisfy

Warning. not mentioned  
 associative law.  
 let alone commutative law

- distributivity  $(a+b) \cdot c = (a \cdot c) + (b \cdot c)$
- compatibility  $(\lambda a) \cdot (\mu b) = (\lambda \mu) a \cdot b$

(2) sub-algebra. sub-vector space with "." closed.

(3) unitary  $\exists 1 \in A$  s.t.  $1 \cdot a = a \cdot 1 = a$

(4) topological algebra.  $(A, +, \cdot, \|\cdot\|, \mathcal{T})$  s.t.

①  $(A, +, \mathcal{T})$  is a topological vector space

②  $\cdot : A \times A \rightarrow A$  is ctn.

(5) closed sub algebra "closed" in topology

$\mathcal{C}(X, \mathbb{R})$  is a topological algebra.

e.g. Weierstrass:  $\overline{\text{Poly}([0,1], \mathbb{R})} = \mathcal{C}([0,1], \mathbb{R})$ .

prop.  $A$  topological algebra.  $A_1$  is a subalgebra  $\Rightarrow \overline{A_1}$  is closed sub algebra

prop.  $X$  is cpt.  $A \subseteq \mathcal{C}(X, \mathbb{R})$  closed subalgebra.

- (1)  $f \in A, \lambda f \in A$
- (2)  $f_1, \dots, f_n \in A, \min\{f_1, \dots, f_n\}, \max\{f_1, \dots, f_n\} \in A$ .

proof. ① By Weierstrass  $\exists P_n, P_n(0) = 0$  s.t.  $P_n \rightrightarrows f(x) = \sqrt{x}$  on  $[0, 1]$

We don't know if we have const term. A has unit?



and  $p_n(f) \Rightarrow \int f^2 = \|f\| \in A$

→ prove by induction /  $\neq$

~~Certain order~~ structure ? "Lattice".

example  $\mathcal{A} = \{ \sum_{k=1}^n a_k x^k \} \subseteq \mathcal{C}([0,1], \mathbb{R})$ . Not dense.

$\mathcal{A} = \{ \sum_{k=1}^n a_k \sin kx + b_k \cos kx \}$

Def.  $\mathcal{A} \subseteq \mathcal{C}(X, \mathbb{R})$ , subalgebra.

(1)  $\mathcal{A}$  is nowhere vanishing if  $\forall x \in X, \exists f \in \mathcal{A}, f(x) \neq 0$ .

(2)  $\mathcal{A}$  is point separating if  $\forall x \neq y, \exists f \in \mathcal{A}, f(x) \neq f(y)$ .

Prop.  $\mathcal{C}(X, \mathbb{R})$  point separating  $\Leftrightarrow X$  Hausdorff

$\Rightarrow$  use  $f(x) < f(z) < f(y)$  construct open sets.

"CH  $\Rightarrow$  point separating" ?

Prop  $X$  is ope.  $\mathcal{A} \subseteq \mathcal{C}(X, \mathbb{R})$  nowhere vanishing  $\Leftrightarrow 1 \in \bar{\mathcal{A}}$

$(\Leftarrow) (1-\epsilon, 1+\epsilon) \ni f \Rightarrow 1$ .

$(\Rightarrow) \forall x \in X, \exists f_x \in \mathcal{A}, f_x(x) \neq 0$ .

$x \in U_x = \{ y \mid f_x(y) \neq 0 \}$  open

$X$  is ope.  $X = \bigcup_{i=1}^m U_{x_i} \rightarrow f_{\frac{1}{2}} = f_{x_1}^2 + \dots + f_{x_m}^2 \in \mathcal{A}$ .

$f_{\frac{1}{2}}(x) > 0$  everywhere  $\varphi$

$\Rightarrow 0 < a \leq f_{\frac{1}{2}}(x) \leq b$  on  $X$ .

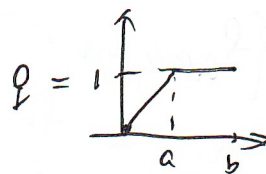
Use Weierstrass theorem.

$p_n \Rightarrow \varphi$ .

$p_n(0) = 0$

$p_n \circ f_{\frac{1}{2}} \in \mathcal{A} \subseteq (1-\epsilon, 1+\epsilon)$ .

$\searrow f \equiv 1$ .



#

$f_0$  Stone-Weierstrass,  $X$  CH.  $\mathcal{A}$  subalgebra satisfy

nowhere vanishing & point separating

then  $\mathcal{A} = C(X, \mathbb{R})$ .

~~proof.~~

Version 2  $X$  CH.  $\mathcal{A}$  unital closed subalgebra. and point separating. then  $\mathcal{A} = C$ .

$2 \Rightarrow 1$   $\mathcal{A}$  closed sub.  $\neq$  nowhere  $1 \in \mathcal{A} \Rightarrow \mathcal{A} = C$ .  
 $1 \Rightarrow 2$ .  $\mathcal{A}$  has  $1 \Rightarrow$  nowhere.

Want.

proof of (V2).  $\forall f \in C(X, \mathbb{R})$ ,  $\forall \epsilon > 0, \exists f_\epsilon \in \mathcal{A}$  s.t.  $d_\infty(f_\epsilon, f) < \epsilon$

$\forall a \neq b \in X, \exists g \in \mathcal{A}, g(a) \neq g(b)$

$$f_{a,b,\epsilon} = f(a) + \frac{g(x) - g(a)}{g(b) - g(a)} \cdot (f(b) - f(a)) \in \mathcal{A}$$

$$\begin{cases} f_{a,b,\epsilon}(a) = f(a) \\ f_{a,b,\epsilon}(b) = f(b) \end{cases}$$

consider  $U_{a,b,\epsilon} = \{ f_{a,b,\epsilon} < f + \epsilon \} \ni a, b$ .  
open.

fix  $b$ , change  $a$ .

$\Rightarrow \{ U_{a,b,\epsilon} \}$  is an open covering.

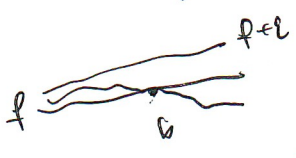
$$\Rightarrow \bigcup_{a,b,\epsilon} U_{a,b,\epsilon} \supseteq X$$

$$\rightarrow f_b^\epsilon := \min \{ f_{a,b,\epsilon} \}_{a,b,\epsilon} \dots \{ f_{a,b,\epsilon} \}_{a,b,\epsilon} \in \mathcal{A}$$

$$\Rightarrow f_b^\epsilon < f + \epsilon \quad \& \quad f_b^\epsilon(b) = f(b)$$

$$\text{change } b. \quad U_{b,\epsilon} = \{ f_b^\epsilon > f - \epsilon \}$$

$$f_\epsilon = \max \{ f_b^\epsilon \} \in \mathcal{A}$$



$$\Rightarrow f_\epsilon > f - \epsilon$$

#

V3.  $X$  CH.  $\mathcal{A}$  subalgebra point separating

if  $\bar{\mathcal{A}} \notin \mathcal{C}(X, \mathbb{R})$ . then  $\exists! x_0$  s.t.  $\bar{\mathcal{A}} = \{f \mid f(x_0) = 0\}$

proof.  $\forall x_0 \exists! \Rightarrow \bar{\mathcal{A}} \subseteq \{f \mid f(x_0) = 0\} = \mathcal{A}$ ,

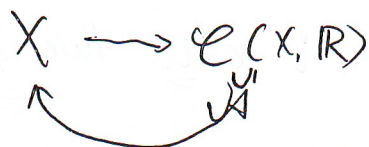
suppose  $\mathcal{A}_2 = \langle \mathcal{A} \frac{1}{2}, 1 \rangle$   $\bar{\mathcal{A}} = \mathcal{C}$ .

$f_n \in \mathcal{A}_2$   $f_n \Rightarrow f$ .  $f(x_0) \neq 0$ .

$\tilde{f}_n = f_n - f_n(x_0)$  so  $\tilde{f}_n \Rightarrow f$

Rmk. ① LCH version

②  $\mathcal{C}(X, \mathbb{C})$ . the third condition  $f \in \mathcal{A} \Leftrightarrow \bar{f} \in \mathcal{A}$



Lec 14. Countability & separability

Recall  $A1: (X, \mathcal{T})$  is  $A1$  if  $\forall x \in X$  has a countable neigh basis

$\downarrow$  "F is closed  $\Leftrightarrow$  F contains all its seq limit pts"

We can choose  $\downarrow$  neigh basis s.t.  $U_x^{n1} \supseteq U_x^{n2} \supseteq \dots$

$\uparrow$  (A1)  $\Rightarrow$  "f ccs  $\Leftrightarrow$  f seq ccs".

Def (A2)  $(X, \mathcal{T})$  is called second countable (A2) if it admits a countable basis.

i.e.  $\exists \mathcal{B} = \{U_n \mid n \in \mathbb{N}\}$ . eg  $(X, d)$  is  $A1$  :  $\mathcal{B}_x = \{B(x, \frac{1}{n}) \mid n \in \mathbb{N}\}$ .  
 Topological basis.

Question: A topological space can be metrized?

prop  $\parallel (X, d)$  is totally bounded  $\Rightarrow (X, d)$  is  $A2$ .

proof. By definition,  $\exists x_i^{(m)} \ 1 \leq i \leq k(m)$  s.t.  $\bigcup_{i=1}^{k(m)} B(x_i^{(m)}, \frac{1}{m}) = \bar{X}$ .

claim  $\mathcal{B} = \{B(x_i^{(m)}, \frac{1}{n}) \mid n=1, \dots\}$  is a countable basis.

reason:  $\forall x \in X, \exists B(x, \epsilon) \subseteq U$ . take  $\frac{1}{n} < \frac{\epsilon}{2}$ .

$x \in B(x_i^{(m)}, \frac{1}{n}) \Rightarrow B(x_i^{(m)}, \frac{1}{n}) \subseteq U$ .  $\#$

Corollary  $\Rightarrow$   $\text{cpt}(X, d) \Rightarrow A_2$ .

Example 1  $([0, 1]^{\mathbb{N}}, \mathcal{T}_{\text{prod}})$  is  $\text{cpt}(X, d)$   
 Tychonoff  $d = \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{d(x_i, y_i)}{1 + d(x_i, y_i)}$

2.  $\mathbb{R}^n, \mathcal{B} = \{B(x, r) \mid x \in \mathbb{Q}^n, r \in \mathbb{Q}_{>0}\}$

Def (Separable)  $(X, \mathcal{T})$  is separable if  $\exists$  a countable dense <sup>sub</sup> set  
 $\mathbb{Q}^n$  is dense in  $\mathbb{R}^n$

prop  $(A_2) \Rightarrow$  separable  $\#$

proof.  $\mathcal{B}$  is countable basis.  $\rightarrow A = \{x_n \mid n \in \mathbb{N}\}$   
 $= \{U_n\}$

claim  $\bar{A}$  is  $X$ ; reason  $\forall x \in X, \forall \epsilon > 0$ , there is  $U_n \subseteq U$

$\Rightarrow A \cap U_n \neq \emptyset \Rightarrow x_n \in U_n \Rightarrow x \in \bar{A}$

Counterexample.  $(\mathbb{R}, \mathcal{T}_{\text{Sorgenfrey}})$

$\mathcal{B} = \{[a, b)\}$

it's separable. Since  $\bar{\mathbb{Q}} = \mathbb{R}$ .

it's  $A_1$ .  $\mathcal{B}_x = \{[x, x + \frac{1}{n})\}$

But it's not  $A_2$ . "for any  $\mathcal{B}$ ,  $\mathcal{B}$  is not countable"

$\forall x \in \mathbb{R}, x \in B_x \in \mathcal{B}, B_x \subseteq [x, x+1)$

$\inf B_x = x$

$\mathcal{B} \rightarrow \mathbb{R}$  (sur)  $\#$

prop  $(X, d)$   $(A_2) \Leftrightarrow$  Separable.

proof  $\Rightarrow \checkmark$

$\Leftrightarrow A = \{x_n\} \quad \bar{A} = X$

$\mathcal{B} = \{B(x_n, \frac{1}{n}) \mid n \in \mathbb{N}\}$ . check it is a basis.

Cor.  $\mathcal{T}_{\text{Sorgenfrey}}$  is not a metric topology

Thm. Any compact metric space is homeomorphic to

a closed subset in  $([0,1]^{\mathbb{N}}, \mathcal{T}_{\text{pro}})$  (Hilbert Cube)

proof WLOG. assume  $\text{diam}(X) \leq 1$

let  $\{x_n\}$  be dense subset.

Consider  $F: X \rightarrow [0,1]^{\mathbb{N}}$

$$x_0 \mapsto (d(x, x_1), d(x, x_2), \dots)$$

claim.  $F: X \rightarrow F(X)$  is a homeomorphism.

①  $F$  is cts. Universality + d metric is cts.



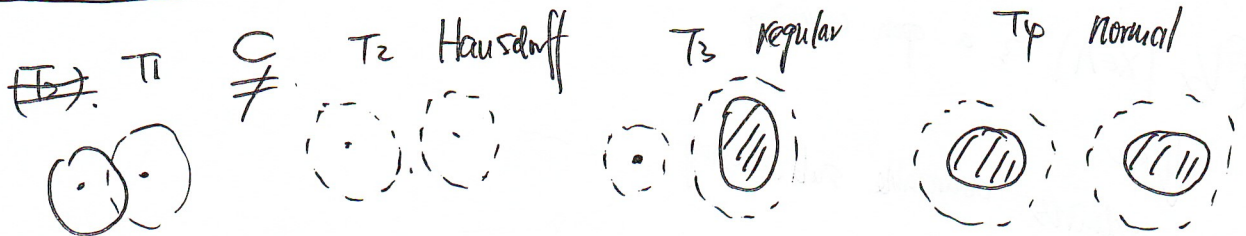
$X$  cts  $\Rightarrow F(X)$  is cts in Hausdorff  $\Rightarrow F(X)$  closed.

②  $F$  is injective. If  $F(x) = F(y) \Rightarrow d(x, x_i) = d(y, x_i) \forall i \in \mathbb{N}$ .

take  $x_{n_k} \rightarrow x$ .  $d(x, y) = \lim_{k \rightarrow \infty} d(x_{n_k}, y) = \lim_{k \rightarrow \infty} d(x_{n_k}, x) = 0$ .

So  $F: X \rightarrow F(X)$   $\Rightarrow F$  is homeo

$\uparrow$  cts                       $\uparrow$  Hausdorff



$\mathbb{R}$  is infinite

rmk. In different literature regular, normal,  $T_3, T_4$  may have different meanings.

$(\mathbb{R}, \text{u.s. } \mathcal{T}_c)$   $T_4 \cup$

$(\mathbb{Q}, \text{f.b.})$   $T_2, T_3 \times$

prop. (T1)  $\Leftrightarrow$  Any  $\{x\}$  is closed

(T2)  $\Leftrightarrow A = \{(x, x) \mid x \in X\}$  is closed in  $X \times X$ .

(T3)  $\Leftrightarrow \forall x \in X, x \in U, \exists \bigcap_{i=1}^{\infty} U_i \ni x \in \bigcup_{i=1}^{\infty} U_i$

(T4)  $\Leftrightarrow \forall A \subseteq X, A$  is closed  $\exists V$  s.t.  $A \subseteq V \subseteq \bar{V} \subseteq U$ .

~~Thm~~ CH  $\Rightarrow$  T4.

$\downarrow$  prop. 1 ~~CH~~  $\Rightarrow$  T3, prop. C + T3  $\Rightarrow$  T4.

Compactness argument

in LCH  
 $x \in \underbrace{V}_{\text{open}} \subseteq \underbrace{\bar{V}}_{\text{qc}} \subseteq U$

proof.  $A \cap B = \emptyset$   
 T3.  $\forall x \in A. \Rightarrow \exists U_x, V_x. U_x \cap V_x = \emptyset$   
 $x \in U_x, B \subseteq V_x$   
 $\{ U_x \}$   
 $A \subseteq \bigcup_{i=1}^n U_{x_i} \Rightarrow B \subseteq \bigcap_{i=1}^n V_{x_i}$   
 $\Rightarrow$  T4 #

prop.  $A_2 + T_3 \Rightarrow T_4$

rank.  $A_2 + T_2 \not\Rightarrow T_3$   
 $LC + T_3 \not\Rightarrow T_4$

proof  $B = \{U_n\}$  basis.

$A, B$  closed.

$\forall x \in A, x \in B^c, \xrightarrow{T_3} x \in V \subseteq \bar{V} \subseteq B^c$

$\{V_x \mid x \in A\}$  is a open covering

$\downarrow A_2$   
 $\mathbb{R}$  admits countable sub covering

$\exists V_{x_i}, \dots, V_{x_n}, \dots$

$U_i \subseteq \bar{V}_i \subseteq B^c$

similarly  $U_i \subseteq \bar{U}_i \subseteq A^c$

take  $U = \bigcup_{n=1}^{\infty} (U_n \setminus \bigcup_{i=1}^n \bar{V}_i)$   
 $V = \bigcup_{m=1}^{\infty} (V_m \setminus \bigcup_{i=1}^m \bar{U}_i)$  #

In fact we use Lindelöf property.



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## Lecture 16 Urysohn theorem.

last time	A1	A2	separable set	lindelöf open covering
countability		basis		
separability	T2 point	T3 point & point	T3 point & closed set	T4 closed set & closed set
T1	single point closed.			

$T_2 \xrightarrow{+cpt} T_3 \xrightarrow{+cpt} T_4$

$T_2 \stackrel{T_1}{<} T_3 \stackrel{T_1}{<} T_4$

recall  $(X, d)$  (A1) (A2) (need totally bounded). (T2) (T4)  $\frac{d_A(x)}{d_A(x) + d_B(x)}$  is etc.

$\Downarrow$  separable

(R, Sorgenfrey) (A1) (T2) (T4) separable but not A2.

so that  $\mathcal{T}_{Sorgenfrey} \neq \mathcal{T}_d$ .

Def. We say  $(X, \mathcal{T})$  is metrizable if  $\exists$  metric  $d$  on  $X$  s.t.  $\mathcal{T} = \mathcal{T}_d$ .

Thm. (Urysohn metrization thm) Let  $(X, \mathcal{T})$  be  $A_2$  space. Then it's metrizable  $\Leftrightarrow$  it's  $T_2$  &  $T_4$ .

Rmk. Can't replace (A2) by separable. CE. Sorgenfrey.

Cor.  $CH$  space is metrizable  $\Leftrightarrow$  it's (A2)

$(\Leftarrow)$  Urysohn Thm

$(\Rightarrow)$  ~~CPT~~  $CPT + \mathcal{T}_d \Rightarrow A_2$ . (cpt in  $\mathcal{T}_d = T_3 + AC$ ). #

Idea.  $\left\| \begin{array}{l} \text{cpt metric space} \hookrightarrow [0,1]^{\mathbb{N}} \\ \text{topological} \\ \text{embedding} \end{array} \right. \quad F : X \rightarrow F(X) \subseteq [0,1]^{\mathbb{N}}$   
 $(d_1(x, x'), \dots)$

Want to find a topological embedding  
 $F : X \xrightarrow{\text{Homeo}} F(X) \subseteq [0,1]^{\mathbb{N}}$   
 $\varphi \text{ " ?}$   
 $(f_1, \dots, f_n, \dots)$   
 How to construct func to separate sth.

submetric topo is metric Top's sub topo.

Urysohn Lemma.  $\left\| \begin{array}{l} (X, \tau) \text{ is } T_4 \text{ iff and only iff } \forall \text{ disjoint closed sets } A, B \subseteq X \\ \exists f \in C(X, [0,1]), \text{ s.t. } f(A) = 0, f(B) = 1 \end{array} \right.$

proof of Urysohn theorem

suppose  $(X, \tau)$  is  $(A_2), (T_2), (T_4)$   
 let  $\mathcal{B} = \{B_n\}$  be countable basis.

Step 1. Suppose  $x \in U$ . then  $\exists$  m.n. s.t.  $x \in B_n \subseteq \bar{B}_n \subseteq B_m \subseteq U$

Reason,  $x \in U \Rightarrow x \in B_m \subseteq U, x \in V \subseteq \bar{V} \subseteq B_m$   
 $T_2 + T_4 \Rightarrow T_3 \Rightarrow x \in B_n \subseteq \bar{B}_n \subseteq V \subseteq B_m \subseteq U$

Step 2.  ~~$\forall x \in U$~~   $\exists f_1, \dots, f_n, \dots \in C(X, [0,1])$ , s.t.

$\forall x \in U, \exists n$ , s.t.  $f_n(x) = 1, f(U^c) = 0$  I is countable.

Reason let  $I = \{(m,n) \mid \bar{B}_m \subseteq B_n\} \neq \emptyset$  (step 1).

For any  $(m,n) \in I$ ,  $\exists g_{m,n} : X \rightarrow [0,1]$  s.t.  $g_{m,n}(\bar{B}_m) = 1$   
 $g_{m,n}(B_n^c) = 0$ .  
 Urysohn lemma.

Step 3.  $F : X \rightarrow F(X) \subseteq [0,1]^{\mathbb{N}}$   
 $x \mapsto (f_1(x), \dots, f_n(x), \dots)$  is a topological embedding.





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Reason, ①  $f: X \rightarrow [0, 1]^{\mathbb{N}}$  is cts since  $f_i \in \text{cts}$ ,  $\forall i \in \mathbb{N}$ .

②  $F$  is injective.

$$\forall x \neq y. \Rightarrow x \in \underbrace{\{y\}^c}_{\text{open}} \ni n. f_n(x) = 1, f_n(y) = 0.$$

$$\Rightarrow F(x) \neq F(y).$$

$F: X \rightarrow F(X) \subseteq [0, 1]^{\mathbb{N}}$  is bijective  $\circledast$  cts  
universality.

③  $F: X \rightarrow F(X)$  is open map.

Let  $U \subseteq X$  be open.  $F(U) \subseteq F(X)$  is open?

take  $z_0 \in F(U)$ .  $F(x_0) \in F(U) \Rightarrow \exists u \text{ s.t. } f_n(x_0) = 1, f_n(u^c) = 0$

Let  $W = \pi_n^{-1}((0, +\infty))$  where  $\pi_n: [0, 1]^{\mathbb{N}} \rightarrow [0, 1]$ .

Claim.  $z_0 \in W \cap F(X) \subseteq F(U)$ .

$$\begin{aligned} \uparrow \\ F(x_0) \\ \pi_n(z) = 1 \in W \\ \pi_n(F(x_0)) = f_n(x_0) \end{aligned}$$

$$W \subseteq F(U)$$

$$\forall z \in W. \exists x \text{ s.t. } F(x) = z$$

$$f_n(x) > 0$$

$$\Rightarrow x \notin U^c$$

$$x \in U.$$

$$z \in F(U)$$

Step 4. Metrization.

By step 2, 3.  $F(X, \tau) \rightarrow (F(X), \tau_{\text{prod}})$  homeom.

$$\text{Let } d(x, y) = d_0(F(x), F(y)) \quad \tau_{d_0}$$

$$F: (X, d) \rightarrow (F(X), d_0) \text{ isometry}$$

$$\Rightarrow (X, \tau_d) \xrightarrow{\text{homeom}} (F(X), \tau_{\text{prod}})$$

Imp. Step 2 + Step 3  $\Rightarrow$  Topological embedding

$$X \hookrightarrow [0,1]^{\mathbb{N}}$$

need  $x \in U \rightarrow \exists f_n \quad f_n(x) = 1 \quad f_n(U^c) = 0$

Repeat above process, we can prove:

Prop. Suppose  $X$  is  $T_1$  and satisfies:  $(T_{3,i})$ . completely regular  $\Rightarrow T_3$

$$\boxed{\forall x \in U, \exists f \in C(X, [0,1]), \text{ s.t. } f(x) = 1, f(U^c) = 0.}$$

then one can have a topological embedding  $X \hookrightarrow [0,1]^{\mathcal{C}(X, [0,1])}$   
 $x \mapsto (e_v_x, \mathcal{C}(X, [0,1]) \rightarrow [0,1])$

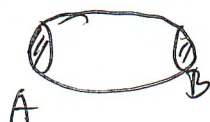
the proof of Urysohn lemma

Idea. Use level set, dense ~

$T_4$  ~~is~~ trivial

$$T_4 \Rightarrow (X, T) \text{ is } T_4. \quad A \subseteq U = B^c$$

$$\begin{matrix} \Downarrow & \Downarrow \\ A_0 & U_1 \end{matrix}$$



By  $T_4$ ,  $\exists A_{\frac{1}{2}} \text{ closed}, U_{\frac{1}{2}} \text{ open} \Rightarrow A_0 \subseteq U_{\frac{1}{2}} \subseteq A_{\frac{1}{2}} \subseteq U_1$

$$A_0 \subseteq U_{\frac{1}{4}} \subseteq A_{\frac{1}{4}} \subseteq U_{\frac{1}{2}} \subseteq A_{\frac{1}{2}} \subseteq U_{\frac{3}{4}} \subseteq A_{\frac{3}{4}} \subseteq U_1$$

$$r \in \left\{ \frac{m}{2^n} \mid n \in \mathbb{N}, 1 \leq m \leq 2^n \right\}$$

①  $U_n \subseteq A_n$

②  $A_n \subseteq U_{n+1}, \forall n \geq 0$

Let  $f(x) = \inf \{ r \mid x \in A_n \} = \inf \{ r \mid x \in U_n \}$

$\inf \emptyset = 1$

To prove  $f$  is cts. Note  $\{ [0, \alpha), (\alpha, 1] \mid \alpha = \frac{m}{2^n} \}$  is a subbase.

But  $f^{-1}([0, \alpha)) = \bigcup_{r < \alpha} U_r$       $f^{-1}((\alpha, 1]) = \bigcup_{r > \alpha} A_r^c$



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NOTE.  $f(A) = 0, f(B) = 1 \iff \underline{f^{-1}(0) = A, f^{-1}(1) = B}$

Q. Which sets can be realized as  $f^{-1}(0)$  when

•  $A$  is closed.

•  $\{0\} = \bigcap_{n=1}^{\infty} (-\frac{1}{n}, \frac{1}{n}) \implies f^{-1}(0) = \bigcap_{n=1}^{\infty} f^{-1}(-\frac{1}{n}, \frac{1}{n}) = G\delta$ .

Example  $X = \{0, 1\}^{\mathbb{R}}$   $T_{prod}$ . Hausdorff,  $pc$ .

CH space.  $\implies \{x\}$  is closed.

$G = \bigcap_{n=1}^{\infty} U_n$  is uncountable.

$\pi_r(U_n) = \{0, 1\}$  for all but finite many  $r$ .

$\pi_r^{-1}(G) = \dots$  countable.

prop.  $(X, \tau)$ . (T4). then.  $A = f^{-1}(0) \iff A$  is closed  $G\delta$  set.

$(\implies) \vee$   
 $(\impliedby)$   $A = \bigcap_n U_n \quad A \subseteq U_n$ . Urysohn  $f_n: X \rightarrow [0, 1]$

$f_n(A) = 0, f_n(U_n^c) = 1$ .

$f(x) = \sum \frac{1}{2^n} f_n(x)$

$f(A) = 0 \implies f^{-1}(0) = A$ .

Cor.  $(X, \tau)$  (T4).  $A, B$  disjoint  $G\delta \implies \exists f \in C(X, [0, 1])$   
 $f^{-1}(0) = A, f^{-1}(1) = B$ .

Cor. (LCH)  
⊙

$$K \subseteq U$$

$$K \subseteq V \subseteq \bar{V} \subseteq U.$$

↑  
cpe.

$\bar{V}$  is T4.

$\exists f \in \mathcal{C}(X, \tau_1, \tau_2)$ .  $f(K) = 1, f(U^c) = 0$

$\overline{\{f \neq 0\}}$  is cpe.

Last time

Urysohn lemma : (T4)  $\Leftrightarrow A \cap B = \emptyset$ .  $A, B$  is closed.  $\exists f \in C(X, [0, 1])$   
s.t.  $f(A) = 0, f(B) = 1$

Not true for T3

closed G $\delta$  set,

LCH : open set  $\otimes$  closed set



open Hausdorff



open Metric space  $\hookrightarrow$  Hilbert space.  
separable.

Urysohn metrization thm

A2. "metrizable  $\Leftrightarrow$  T2 + T4"

Lecture 17. Tietze extension theorem  $(X, \tau)$  is T4.  $A \subseteq X$  is closed  
then Any  $f \in C(A, [0, 1])$  can be ~~embedded~~ extended to  $\tilde{f} \in C(X, [0, 1])$ .

Def // for  $S \subseteq X$ ,  $f: S \rightarrow Y$  is a map. if  $\tilde{f}: X \rightarrow Y$ , satisfies  
 $\tilde{f}(s) = f(s) \forall s \in S$ , then we call  $\tilde{f}$  as extension of  $f$ .

e.g. Zero extension: if  $f: S \rightarrow \mathbb{R}$ .  $\tilde{f}(x) = \begin{cases} f(x) & S \\ 0 & S^c \end{cases}$

We always focus on cts extension.  $f \in C(S, Y) \rightsquigarrow \tilde{f} \in C(X, Y)$

existence & uniqueness ?

$A \subseteq \mathbb{R}$ , not closed  $\Rightarrow a \in A \setminus A$ . let  $f(x) = \sin \frac{1}{\pi - a}$   $x \in A$

Rmk. In general, existence is not unique

prop // let  $Y$  be T2.  $S \subseteq X$  be dense. then any  $f \in C(S, Y)$  admits  
at most one cts extension.  $\tilde{f} \in C(X, Y)$ .

Rmk. Can't replace  $[0, 1]$  by more general  $Y$ .

$S = \{0, 1\} \subseteq [0, 1] = X$ .  $(Y, \tau)$

Any  $f$  is cts, but  $f \rightsquigarrow \tilde{f} \Leftrightarrow f(0), f(1)$  lie in the same path  
( $\otimes$  cts map preserve connectedness).

eg.  $\text{Id}: S^1 \rightarrow S^1 = Y$  } not exist brouwer fixed point  
 $X = D$

Tietze thm  $\Rightarrow$  Urysohn lemma

$$A_1 \cap B_1 = \emptyset$$

$A = A_1 \cup B_1$  is closed  $\xrightarrow{\text{parce}}$   $f : A \rightarrow [0,1]$  is cts

$f(A_1) = 1, f(B_1) = 0$   
 $\downarrow$   
 $\bar{f} : X \rightarrow [0,1]$  is cts

proof of Tietze extension

$(T4 \Leftrightarrow f \rightarrow \bar{f}, \text{ Tietze} \Rightarrow \text{Urysohn lemma} \Rightarrow T4)$

Idea.  $r_A : \mathcal{C}(X, [0,1]) \rightarrow \mathcal{C}(X, [0,1])$   
 $f \mapsto r_A(f)$

We want to solve  $r_A(\bar{f}) = f$

① approximate solution  $r_A(g) \approx f \quad |f - r_A(g)| \leq 1$   
 $\downarrow$   
 ② iteration  $r_A(g) \approx f - r_A(g)$   
 $\downarrow$   
 ③ prove ...

① consider  $\bar{f} : A \rightarrow [-1,1]$   $A_1$  closed

$$\bar{f}(x) = \begin{cases} \frac{1}{3} & \text{if } f(x) \geq \frac{1}{3} \\ f(x) & \text{if } -\frac{1}{3} < f(x) < \frac{1}{3} \\ -\frac{1}{3} & \text{if } f(x) \leq -\frac{1}{3} \end{cases}$$

then  $\bar{f} \in \mathcal{C}(A, [-1,1])$   
 $\uparrow$   
 paste lemma

but we would use  $\bar{f}$

$|\bar{f} - f| \leq \frac{2}{3}$  use Urysohn.  $\exists g : X \rightarrow [-\frac{1}{3}, \frac{1}{3}]$

$g(A_1) = \frac{1}{3}, g(B_1) = -\frac{1}{3}$

$|f - r_A(g)| \leq \frac{2}{3} \quad x \in A$

② Denote  $f_1 = f, |f_1 - g_1| \leq \frac{2}{3}$

$f_2 = f_1 - g_1, \quad g_1 \in X \rightarrow [-\frac{2}{3}, \frac{2}{3}]$

$|f_2 - g_2| \leq (\frac{2}{3})^2$

$\vdots$   
 $|f_n - g_n| \leq (\frac{2}{3})^n$

③ let  $\tilde{f} := \sum_{n=1}^{\infty} g_n(x)$  cts : Weierstrass M-test.  
on  $X$ .

$$|\tilde{f}| \leq \frac{1}{3} \sum \left(\frac{2}{3}\right)^n = \frac{1}{3} \frac{1}{\frac{1}{3}} = 1$$

$$\left| f - \sum_{n=1}^N g_n(x) \right| = \left| f_N - g_N \right| \leq \left(\frac{2}{3}\right)^N \rightarrow 0 \text{ on } A.$$

So  $\tilde{f}$  is  $f$ 's extension on  $X$ .  $\square$

Obviously, one can replace  $[-1, 1]$  by any  $[a, b]$ .

Variation of Tietze: one can replace  $[-1, 1]$  by  $\mathbb{R}$ .

let  $g = \arctan \circ f$   $f: A \rightarrow \mathbb{R}$   
 $g \in (-\frac{\pi}{2}, \frac{\pi}{2})$

exercise  $\tilde{g} \in \mathcal{C}(X, [-\frac{\pi}{2}, \frac{\pi}{2}])$

Note  $B = \tilde{g}^{-1}(\pm \frac{\pi}{2})$  and  $B \cap A = \emptyset$

Urysohn  $h \in \mathcal{C}(X, [0, 1])$   $h(A) = 1$ ,  $h(B) = 0$ .

let  $\tilde{f} = \tan(\tilde{g} \cdot h)$

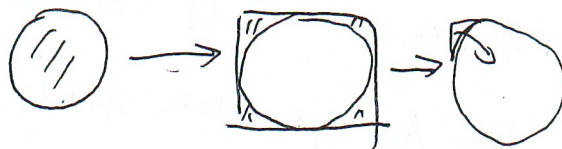
Rmk. one can also extend  $f: A \rightarrow [-1, 1]^n$

or  $A \rightarrow \prod_{i=1}^n [a_i, b_i]$  prod top

"pullback the bad part"

-  $\mathbb{C}$ -value preserve norm.

- lip.  $C^{\infty}$



LCH version.  $X$  is LCH.  $K \subseteq X$  cpe.  $f \in \mathcal{C}(K, [-1, 1])$ .

$\tilde{f} \in \mathcal{C}_{\text{cpe}}(X, [-1, 1])$

Idea.  $K \subseteq V \subseteq \bar{V} \subseteq X$   
 $\bar{V}$  cpe.

$\tilde{f} = 0$  on  $V \setminus K$

$f_1 = \begin{cases} f & K \\ 0 & V \setminus K \end{cases}$

$f_1 \rightsquigarrow \tilde{f}_1 \xrightarrow{\text{zero}} \tilde{f}$

$\square$





proof. Use Urysohn.  $\Rightarrow \rho_\alpha \in \mathcal{C}(X, [0,1])$ .  $g_\alpha = \begin{cases} 1 & \text{For } U_\alpha \\ 0 & \text{else} \end{cases}$   
 $\Rightarrow g(x) = \sum \rho_\alpha(x)$  is a well-defined continuous function. &  $g(x) \geq 1$  since  $\{U_\alpha\}$  is a covering.  
 $\Rightarrow 1 = \sum \frac{\rho_\alpha(x)}{g(x)} = \sum \rho_\alpha(x)$ .

Def. We call  $\{\rho_\alpha\}$  is a partition of unity if:  
 (a)  $\rho_\alpha \in \mathcal{C}(X, [0,1])$  (b)  $\text{supp } \rho_\alpha$  is locally finite (c)  $\sum \rho_\alpha(x) = 1 \quad \forall x \in X$ .  
 (b) We say P.O.U.  $\{\rho_\alpha\}$  is a P.O.U. subordinate to an open covering  $\{U_\alpha\} = \mathcal{U}$  if it also satisfies (d)  $\text{supp } \rho_\alpha \subseteq U_\alpha$ .  
 We Do NOT assume it's locally finite.

Suppose  $\{\rho_\alpha\}$  subordinate to  $\mathcal{U} = \{U_\alpha\}$ .

$\Rightarrow \{X \mid \rho_\alpha(x) > 0\}$  is a locally finite refinement of  $\{U_\alpha\}$

Def. In general, We say  $\mathcal{V} = \{V_\beta\}$  is a refinement of  $\mathcal{U}$  if  $\forall V_\beta, \exists U_\alpha$  s.t.  $V_\beta \subseteq U_\alpha$ .

Def. We say  $(X, \mathcal{T})$  is a paracompact if any open covering  $\{U_\alpha\}$  admits a locally finite open refinement.

Compact  $\Leftrightarrow$  finite. Paracompact  $\Leftrightarrow$  locally finite. in topology

Example. (1)  $\mathbb{R}^n$   $\mathcal{U} = \{B(0, n) \mid n \in \mathbb{N}\}$  NOT locally finite.

$\mathcal{V} = \{V_k \mid V_k = B(0, k) \setminus \overline{B(0, k-1)}, k=1\}$  NOT open covering BUT We can adjust easily

(2) In general,  $\mathcal{U}_1 = \{B(x, r_x)\}$  is a refinement of  $\mathcal{U}$ .  $B(0, k+1) \setminus B(0, k)$  can be covered finitely in  $\mathcal{U}_1 \Rightarrow \{\mathcal{V}\}$  locally finite.

Remark. Any metric space is paracompact.

Thm. If  $(X, \mathcal{T})$  is paracompact &  $(T_2)$ , then for Any  $\mathcal{U} = \{U_\alpha\} \exists$  P.O.U.  $\{\rho_\alpha\}$  subordinate to  $\mathcal{U}$ .

We need Prop A. Paracompact  $+ T_2 \Rightarrow T_3, T_4$   
 Prop B. For Any open covering  $\mathcal{U}$ ,  $\exists$  locally finite refinement  $\mathcal{V} = \{V_\alpha\}$  s.t.  $\overline{V_\alpha} \subseteq U_\alpha$ .  
 paracompact  $+ T_2 \Rightarrow$

We need locally finiteness  $\downarrow \mathcal{T}$   
 proof:  $\overline{Z_\alpha} \subseteq W_\alpha \subseteq \overline{W_\alpha} \subseteq V_\alpha \subseteq \overline{V_\alpha} \subseteq U_\alpha$ .  
 Apply simple P.O.U.  $\{\overline{Z_\alpha}\}, \{W_\alpha\}$

$\Rightarrow \rho_\alpha \in \mathcal{C}(X, [0,1])$   $\text{supp } \rho_\alpha \subseteq \overline{W_\alpha} \subseteq U_\alpha \subseteq U_\alpha$   
 Locally finite

Remark. LCH version.  $(X, \mathcal{T})$  LCH +  $\sigma$ -cpt  
 Then for Any  $\mathcal{U}$ ,  $\exists (p_n)$  P.O.U. s.t. (1)  $\text{supp } p_n \subseteq U_\alpha$   
 (2)  $p_n \in \mathcal{C}_c(X, [0,1])$

prop.  $(X, \mathcal{T})$  para compact.  $A \subseteq X$ .  $A$  is closed  $\Rightarrow A$  para open.

$$\mathcal{U} \text{ of } A \rightarrow \mathcal{U} \cup \{A^c\} \rightsquigarrow \mathcal{U}_1 \cup \{A^c\} \rightsquigarrow \tilde{\mathcal{U}} \quad u \in \tilde{\mathcal{U}} \quad u \cap A \neq \emptyset. \#$$

prop. LCH +  $A_2 \Rightarrow$  para opt.

proof.  $\forall \mathcal{U}$ .  $\forall x, \exists U_x \ni x \xrightarrow{\text{LCH}} x \in U_x \subseteq \bar{U}_x \subseteq \overline{W_x} \subseteq U_x$

$\mathcal{V} = \{V_x\}$  is a refinement of  $\mathcal{U}$ .  $\xrightarrow{A_2 \text{ (or Lindelöf)}} \tilde{\mathcal{V}} = \{V_1, \dots, V_n, \dots\}$

$$\Rightarrow \tilde{W} = \{W_1, \dots, W_n, \dots\}$$

$$\text{let } R_1 = W_1, R_2 = W_2 \setminus \bar{V}_1, \dots, R_n = W_n \setminus (\bar{V}_1 \cup \dots \cup \bar{V}_{n-1})$$

$$\textcircled{1} R : \emptyset \neq R_n \subseteq W_n \subseteq U_x$$

$\textcircled{2} \forall x, \exists$  smallest  $n$  st  $x \in U_n$ .  $x \notin \bar{V}_1, \dots, \bar{V}_{n-1} \Rightarrow x \in R_n$

$\textcircled{3} \forall x, \exists n$  st  $x \in U_n \Rightarrow x \notin R_{n+1}, \dots \Rightarrow$  locally finite.  $\Rightarrow$  LC

Def. Topological manifold is a topological space s.t.  $\textcircled{1} A_2$   $\textcircled{2} T_2$   $\textcircled{3}$  locally Euclidean of dim  $n$ .

$$\forall x \exists U_x \cong M, \& \forall V_x \subseteq \mathbb{R}^n$$

$$\psi_x : U_x \xrightarrow{\text{homeo}} V_x$$

cor. Manifolds are always paracompact

cor. Manifolds are  $T_3, T_4$ .

An application of P.O.U.

Thm. Any compact  $\dim n$  topological manifold can be embedded into a  $\mathbb{R}^N$

proof.  $\forall x, \psi_x : U_x \rightarrow V_x \subseteq \mathbb{R}^n$

compactness :  $\rho_i : U_i \rightarrow V_i$  s.t.  $\{U_i\}$  is an open covering of  $M$ .  $i \in I \subseteq \mathbb{N}$

let  $\{\rho_i\}$  be P.O.U. for  $U_i$

$$\text{let } h_i : M \rightarrow \mathbb{R}^n \quad h_i(x) = \begin{cases} \rho_i(x) & x \in U_i \\ (0, \dots, 0) & x \in U_i^c \end{cases}$$

$\Rightarrow h_i$  is cts on  $M$

$$\Phi : M \rightarrow \mathbb{R}^{n+m} \quad x \mapsto (h_1, \dots, h_m, \rho_1, \dots, \rho_m)$$

Now,  $\Phi$  is injective.

$$\boxed{\rho_i(x) = \rho_i(y) \Rightarrow h_i(x) = h_i(y) \Rightarrow x = y}$$

$\Rightarrow \Phi$  is homeo.